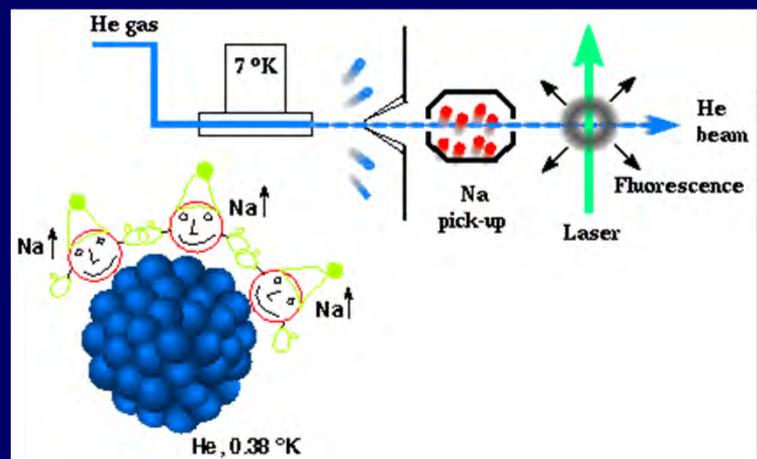


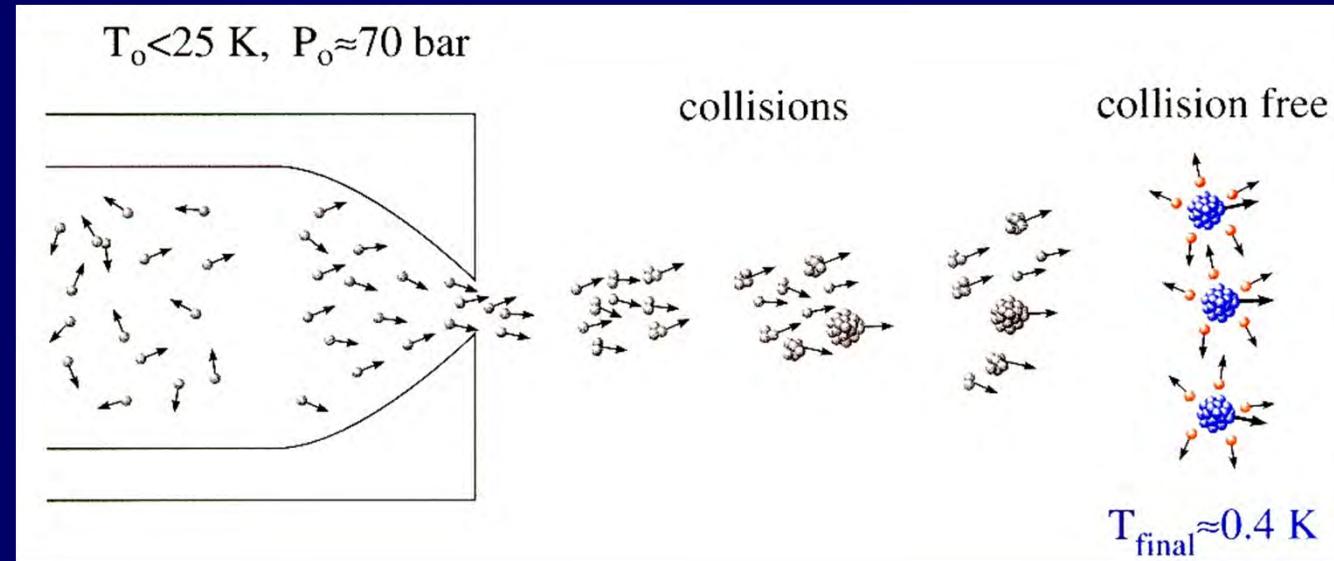
# Shell Models for Alkali Metal Trimers: Electronic Level Structure and Magnetic Properties from Experimental and Theoretical Investigations

## Lecture II



- introduction: helium droplets, doping with foreign species, spectroscopy
- alkali atoms and molecules on helium droplets
- spectra, absorption and magnetic circular dichroism
- identification of high spin trimers
- *ab initio*  $K_3$  and  $Rb_3$ ,  $K_2Rb$  and  $KRb_2$  quartet states
- quartet state shell structure, harmonic oscillator states
- the ultimate resolution: electron spin resonance

# Helium nanodroplets



cooling  
(adiabatic expansion)

clusters  
grow

evaporative  
cooling

- Excellent cryostat, at  $T \sim 0.4 \text{ K}$
- Weak interactions
- Liquid (in fact superfluid)
- Confinement ( $r = 20-100 \text{ \AA}$ )
- “Natural selection” of weakly bound species

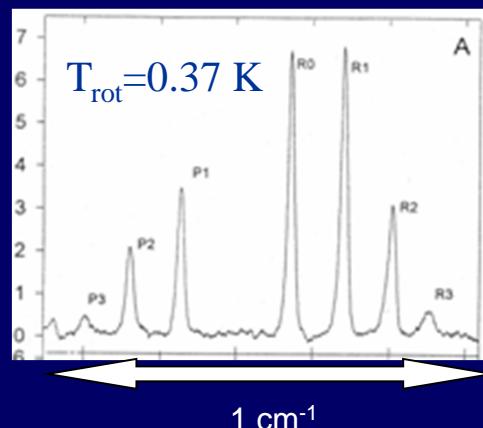
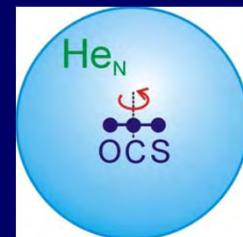


# Superfluid helium droplets as nanocryostat

## Spectroscopic linewidths?

Rotational (microwave)  
and vibrational (infrared)  
excitation of a dopant  
in a superfluid

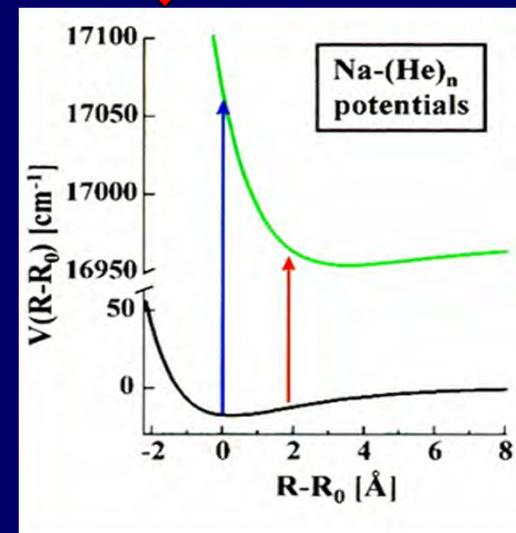
**No friction,  
only inertia**



Grebenev, Toennies, Vilesov  
(Science 1998)

Electronic excitation  
(visible or UV)  
of a dopant

**Electron repulsion  
by helium**

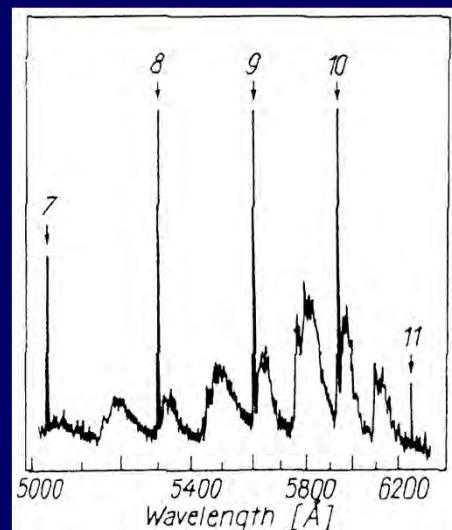
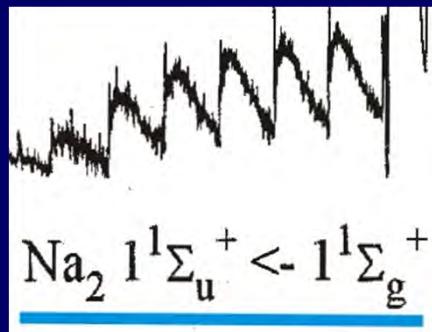


**Broadened  
and  
blue-shifted**

Details: C. Callegari and W. E. Ernst in: Handbook of High Resolution Spectroscopy,  
eds. F. Merkt and M. Quack, Wiley 2010

# Superfluid helium droplets as nanocryostat

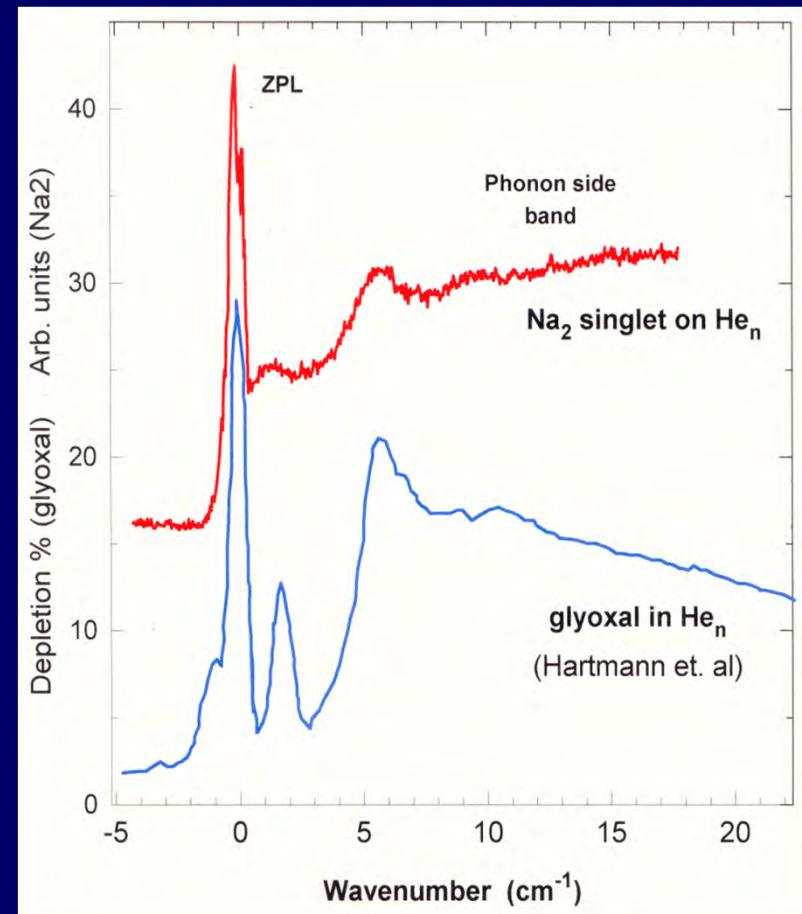
## Spectroscopic linewidths?



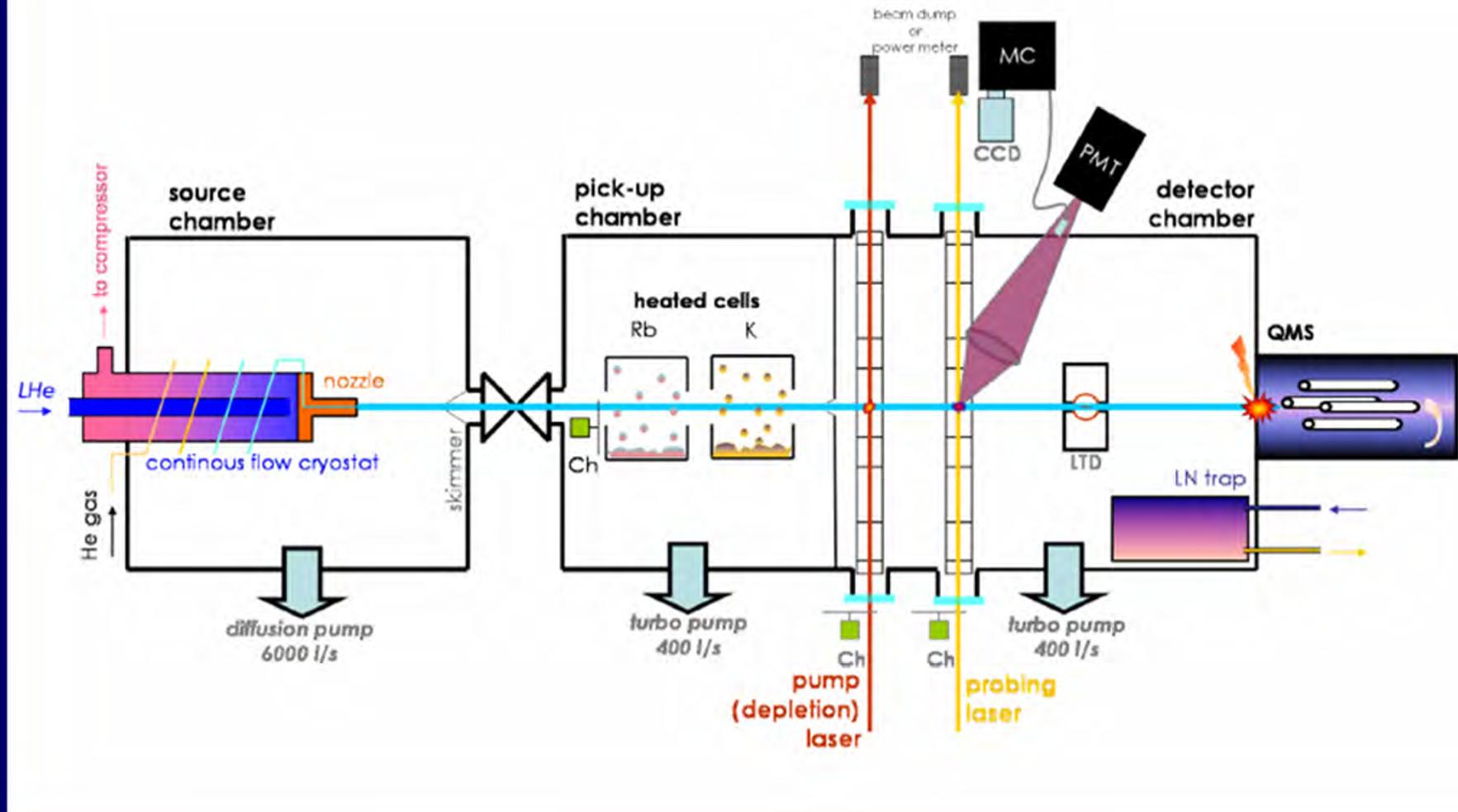
KCl:O<sub>2</sub> luminescence spectrum at 4.2K.

From: Freiberg & Rebane in „Zero-Phonon Lines“  
(eds. Sild & Haller (Springer))

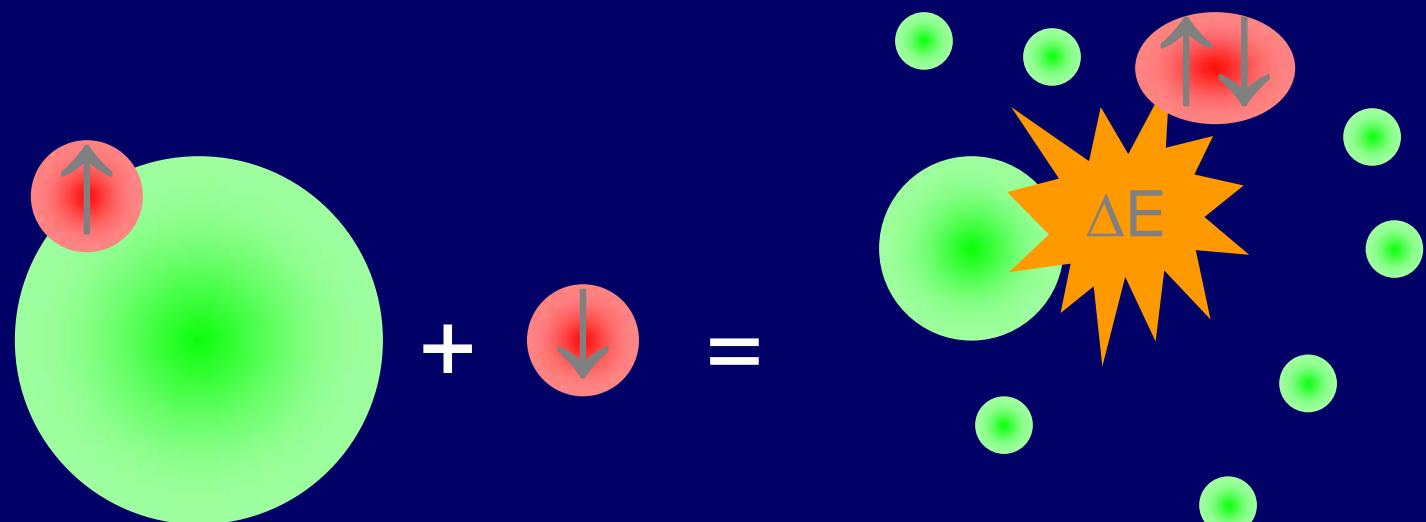
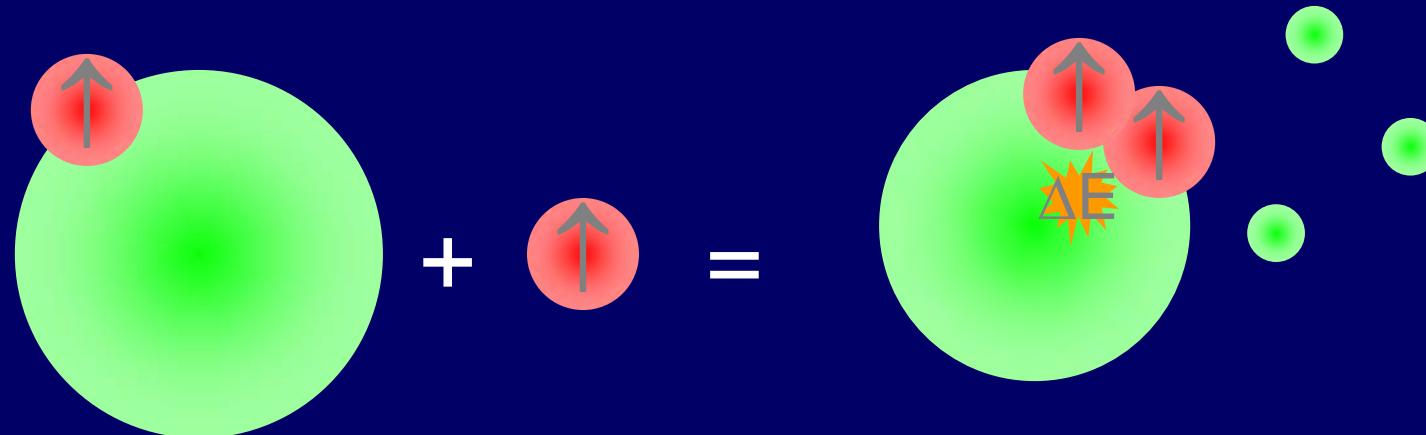
Comparison of LIF spectra of dopants  
on/in helium nanodroplets (Na<sub>2</sub> singlet vs. glyoxal):



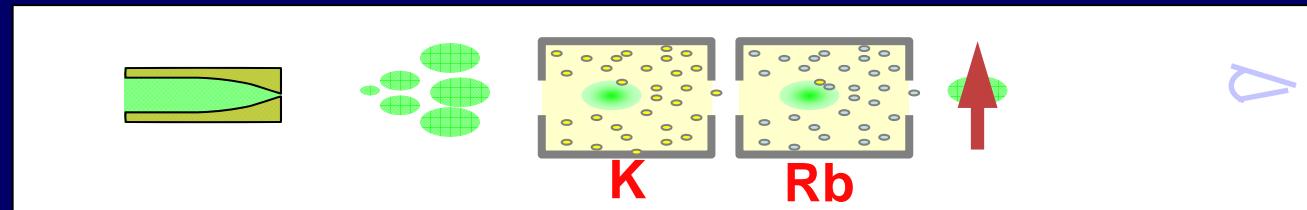
# Experiment



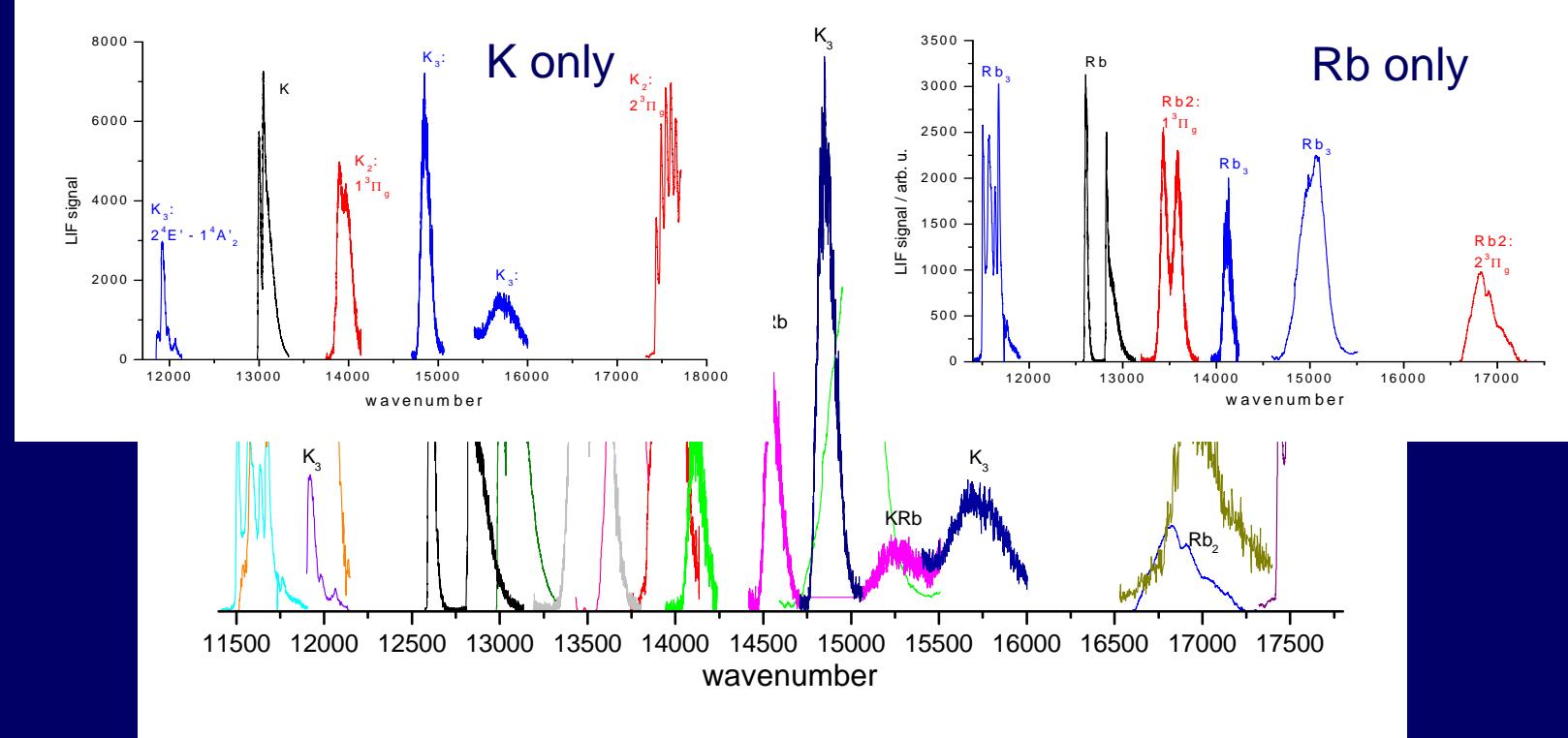
# Alkali $n$ -mers on $\text{He}_N$ : High-spin selectivity



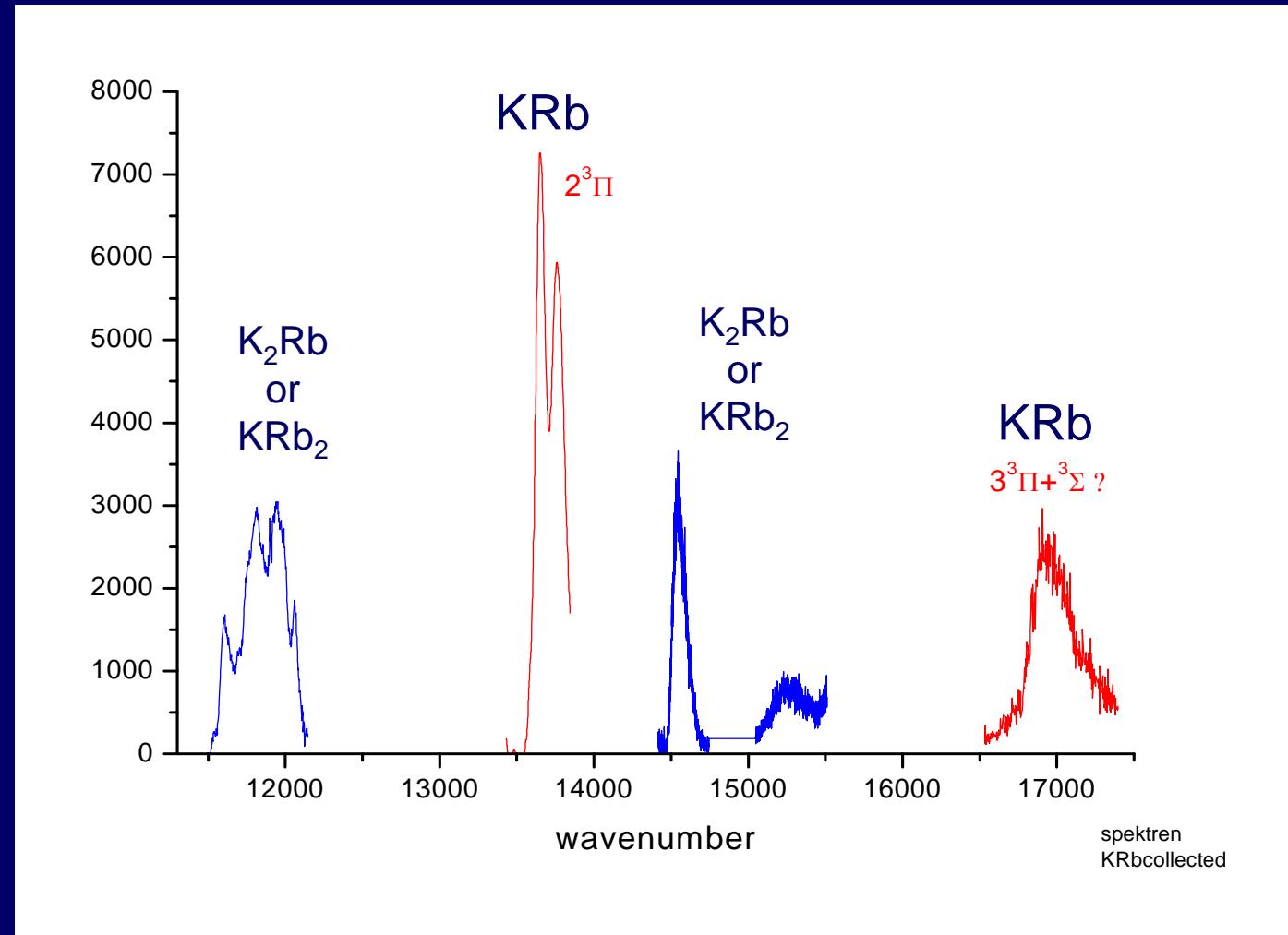
# Homonuclear & heteronuclear alkali molecules



LIF spectra of K + Rb attached to He nanodroplets

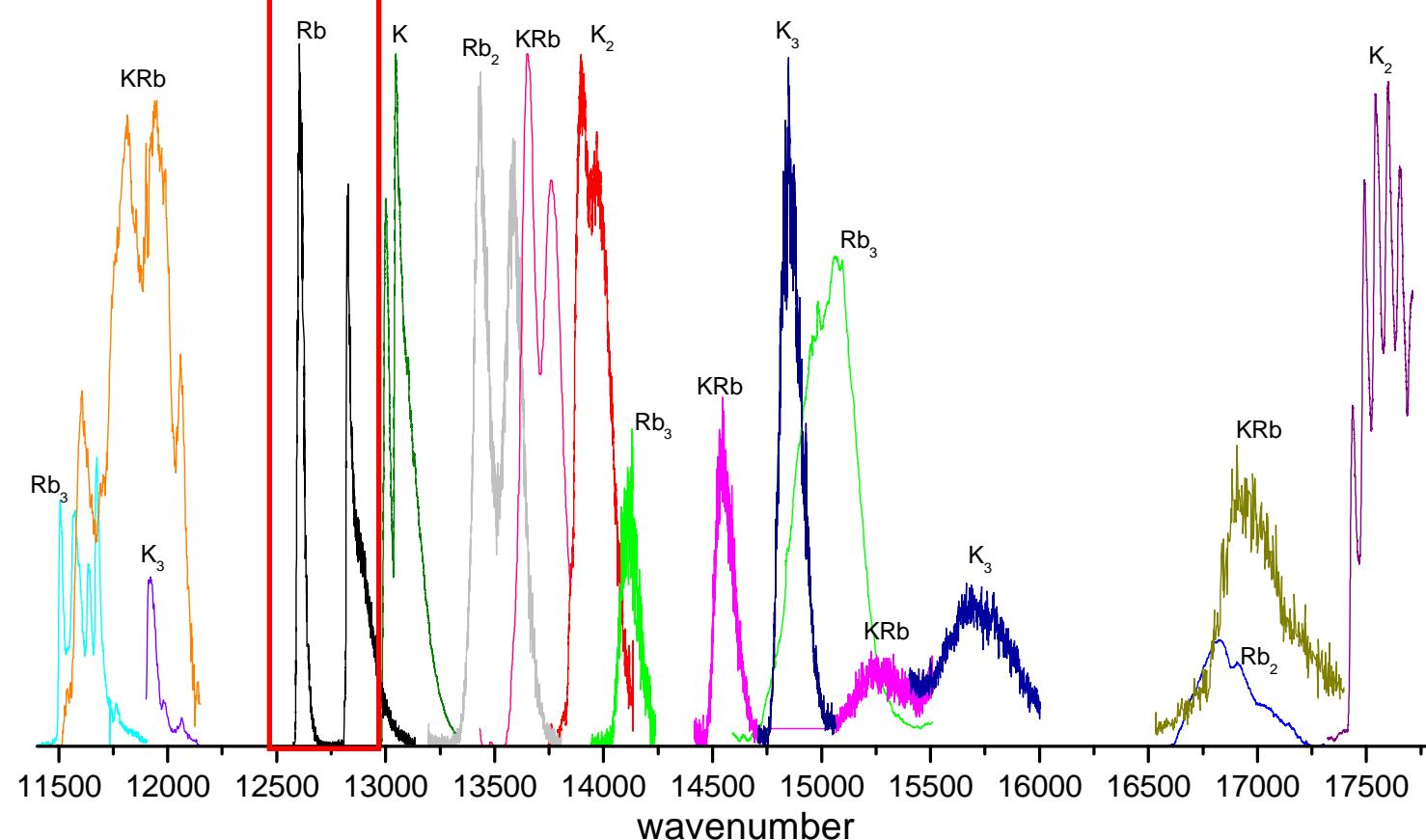


# heteronuclear alkali molecules

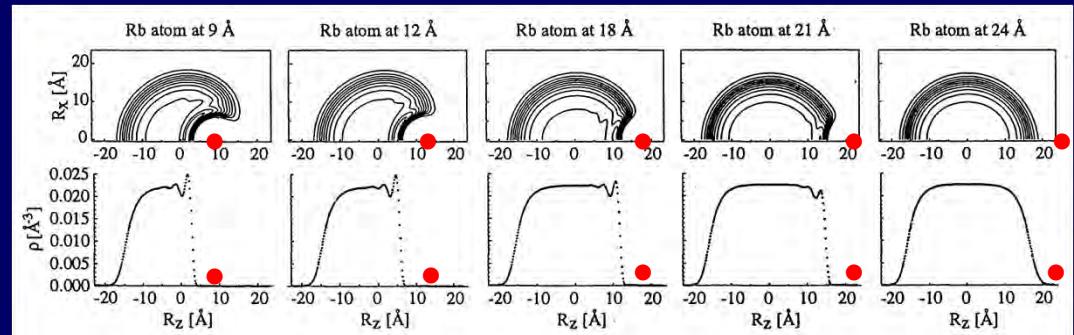
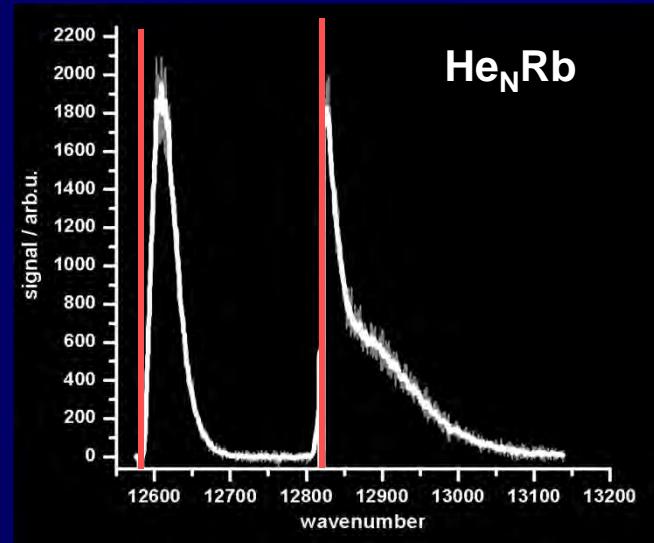


Johann Nagl  
and  
Carlo Callegari

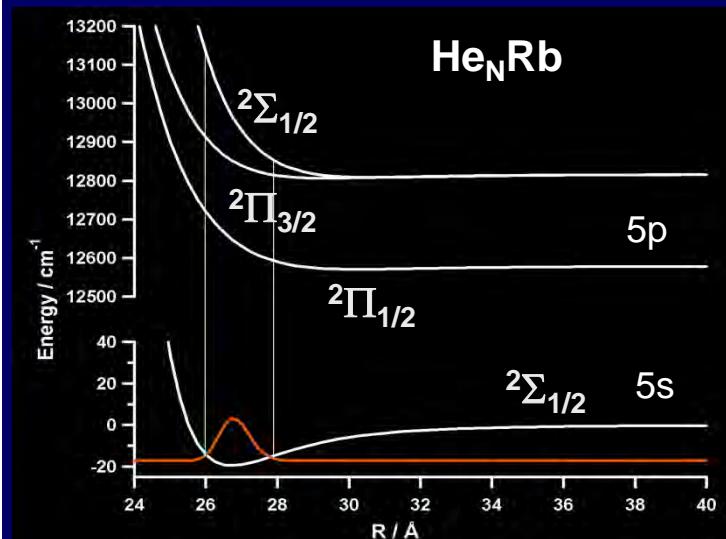
## LIF spectra of K + Rb attached to He nanodroplets



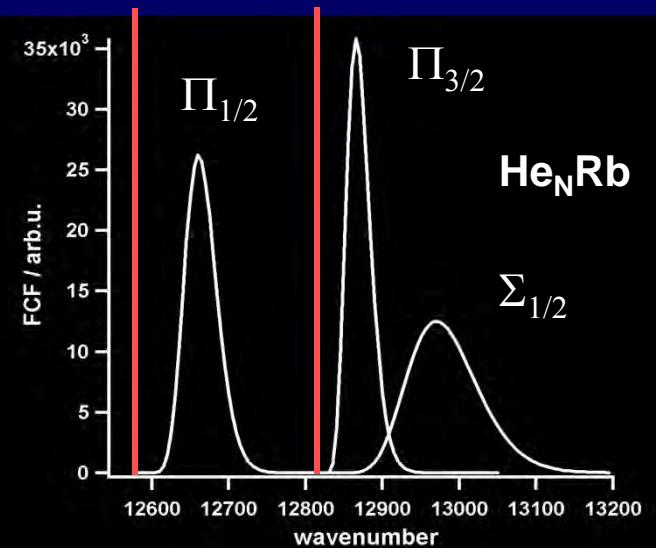
# Alkali-He<sub>N</sub> pseudo diatomic: atomic excitation ns-np



**Rb 5s on He<sub>N</sub> surface,**  
DFT calculation (help by F. Toigo) in:  
Brühl, Trasca, Ernst JCP 115, 10220 (2001)

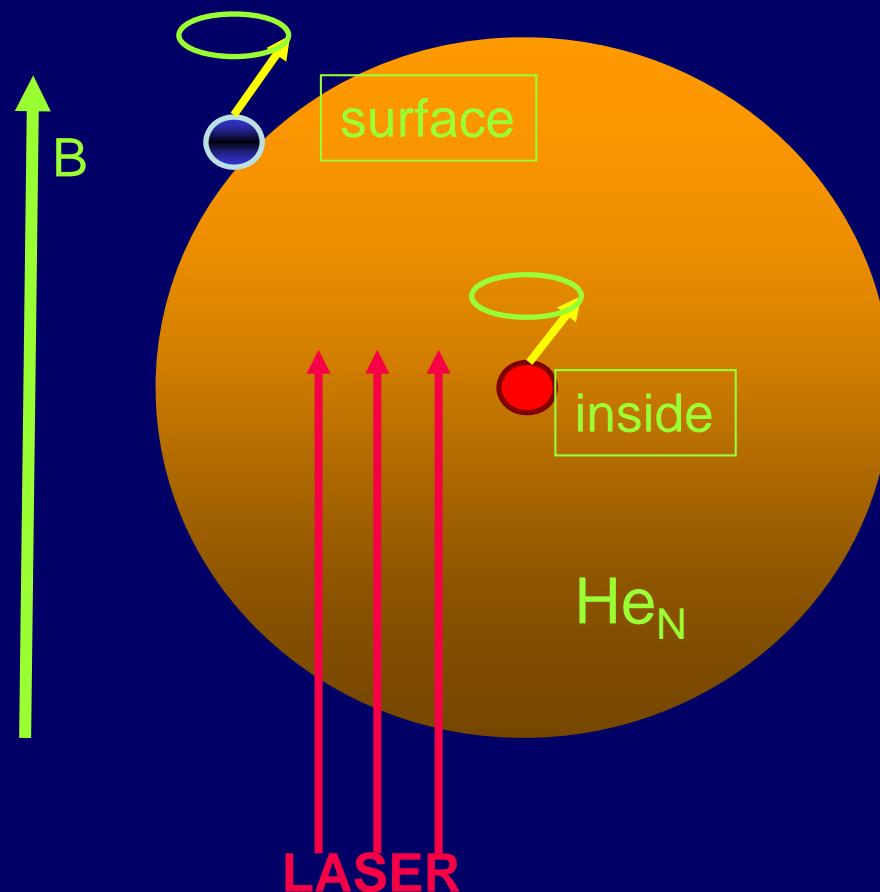


Treat He droplet as a giant closed-shell atom  
Calculate (vdW) molecular potential by integrating vdW pair potentials over He density  
Approximate spectrum with F-C Factors



# Key questions

Dopants with spin in external B-field



Carlo Callegari

Create spin precession:

Spin relaxation due to  
helium environment?

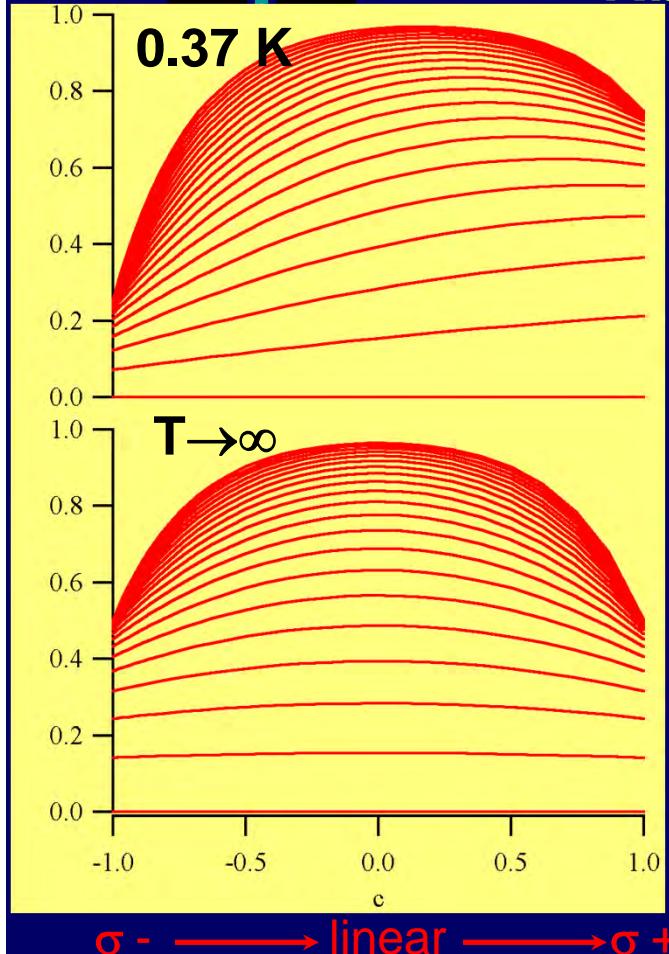
For atoms?

For molecules?

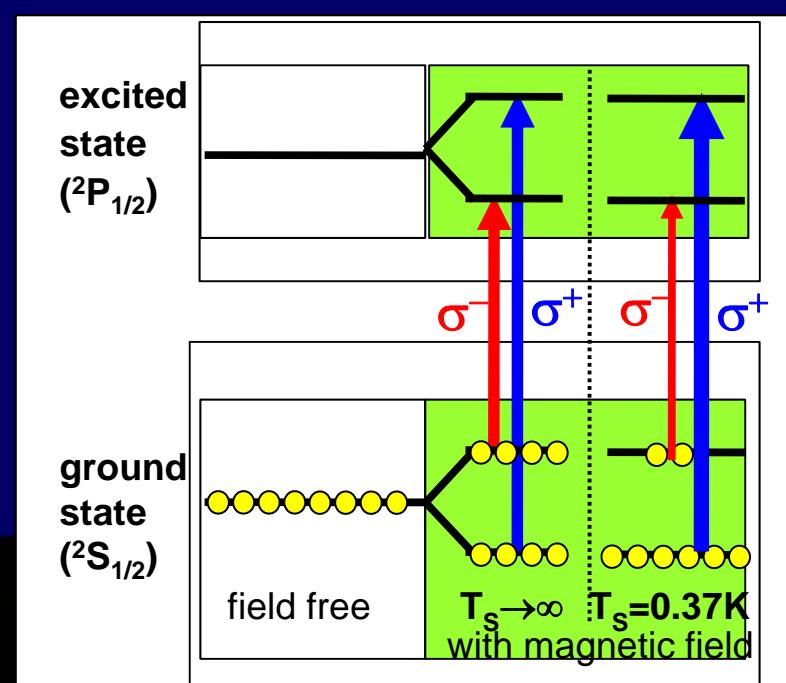
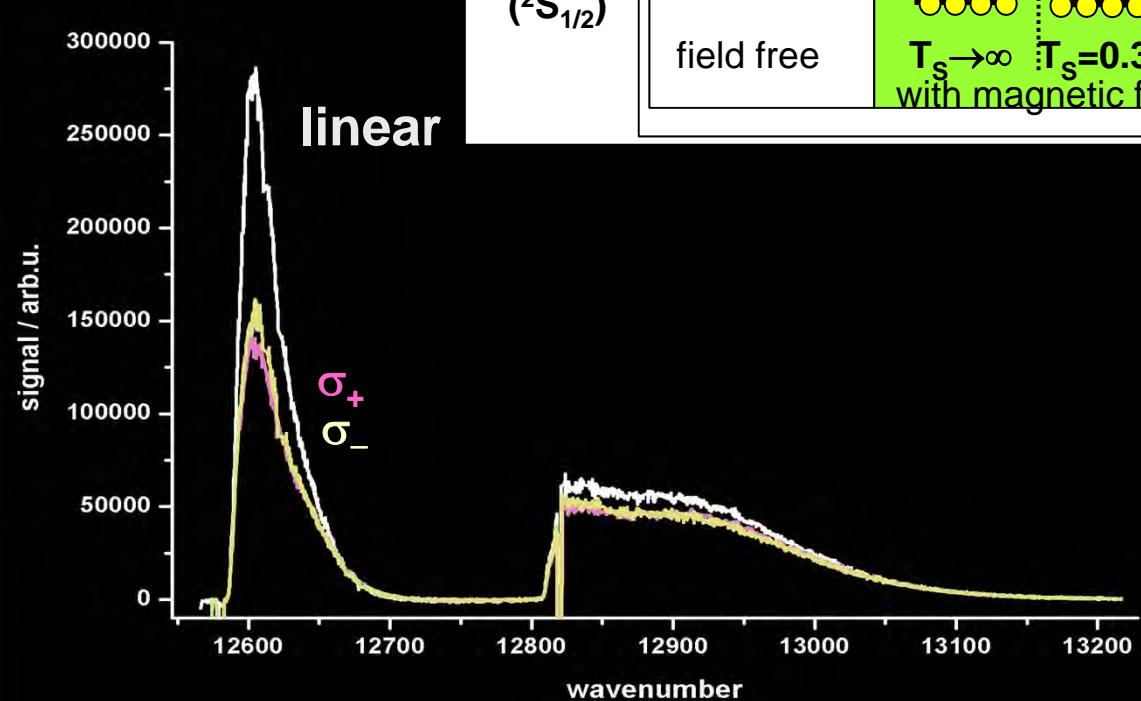
Related topic: helium buffer gas cooling of oriented atoms or molecules

## Add magnetic field and exploit dichroism: Rb 5s-5p

Spin temperature

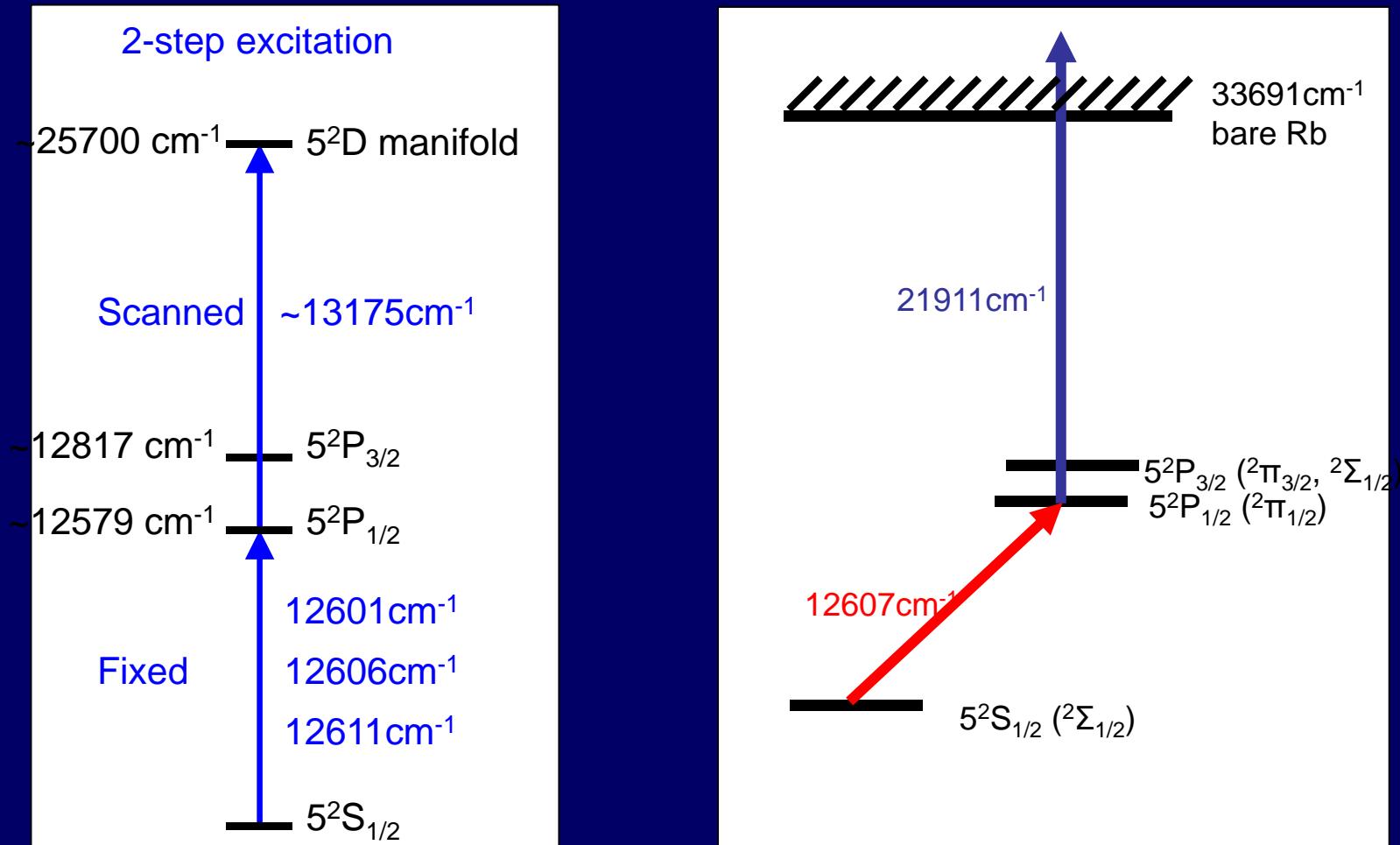


Phys. Rev. Lett. 98, 075301-1-4 (2007)

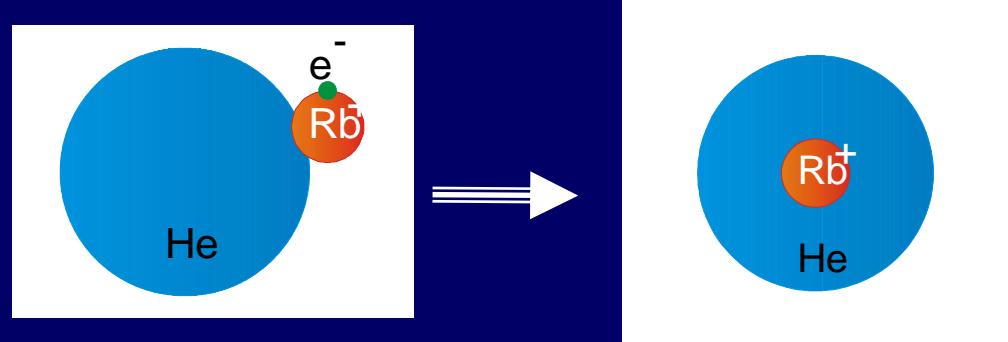


# Highly excited states and ionization of atoms

## For example: two step processes in He<sub>N</sub>-Rb

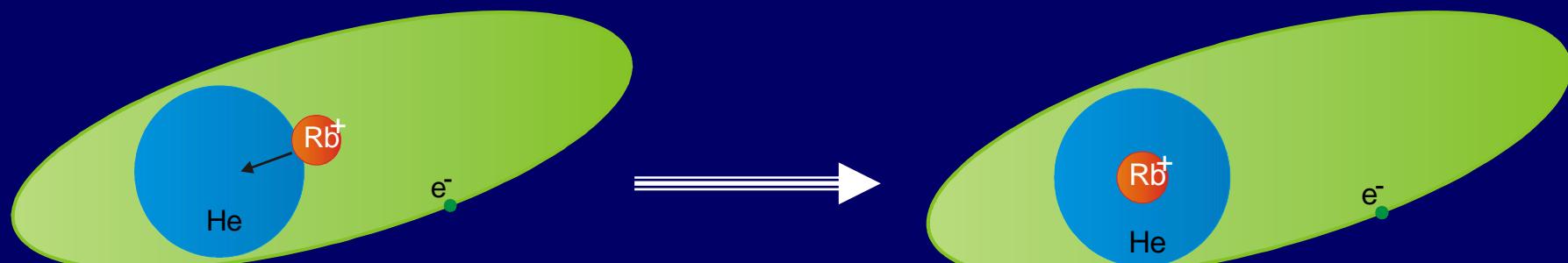


Moritz Theisen and Florian Lackner

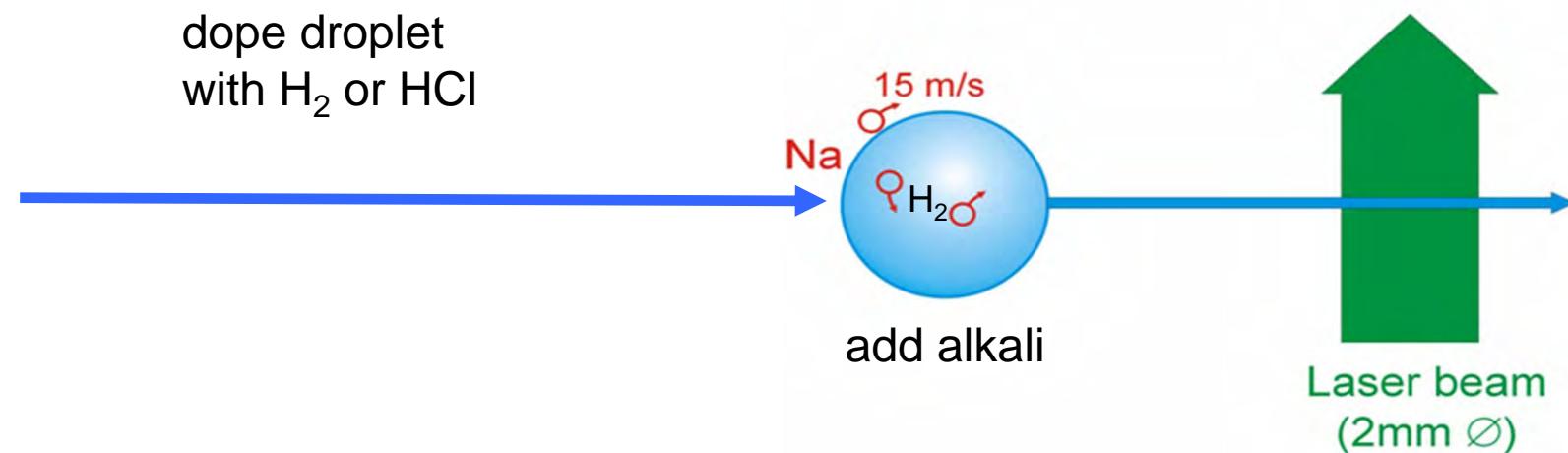


**Goal:**

**High-lying Rydberg state**

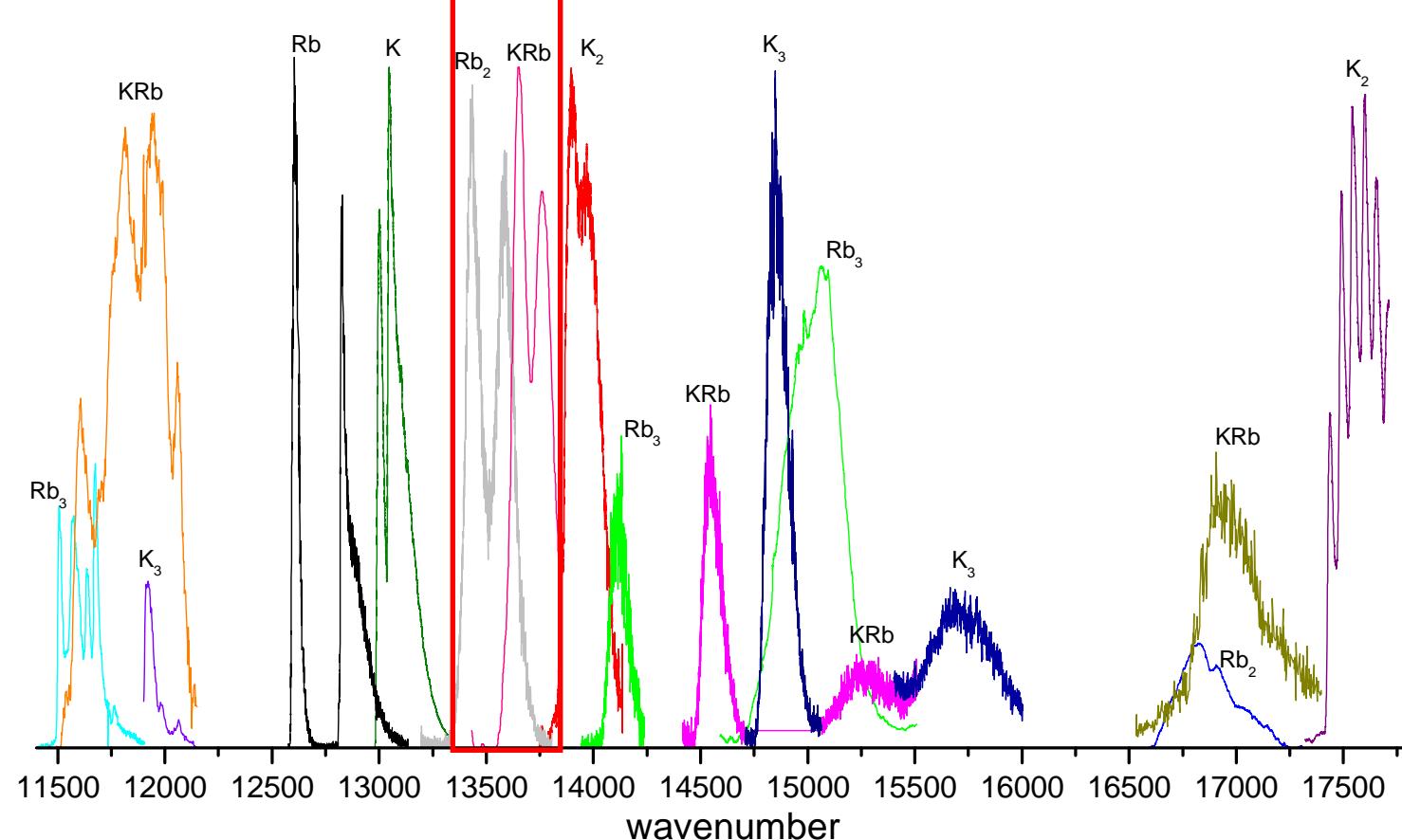


# Cold chemistry in confinement

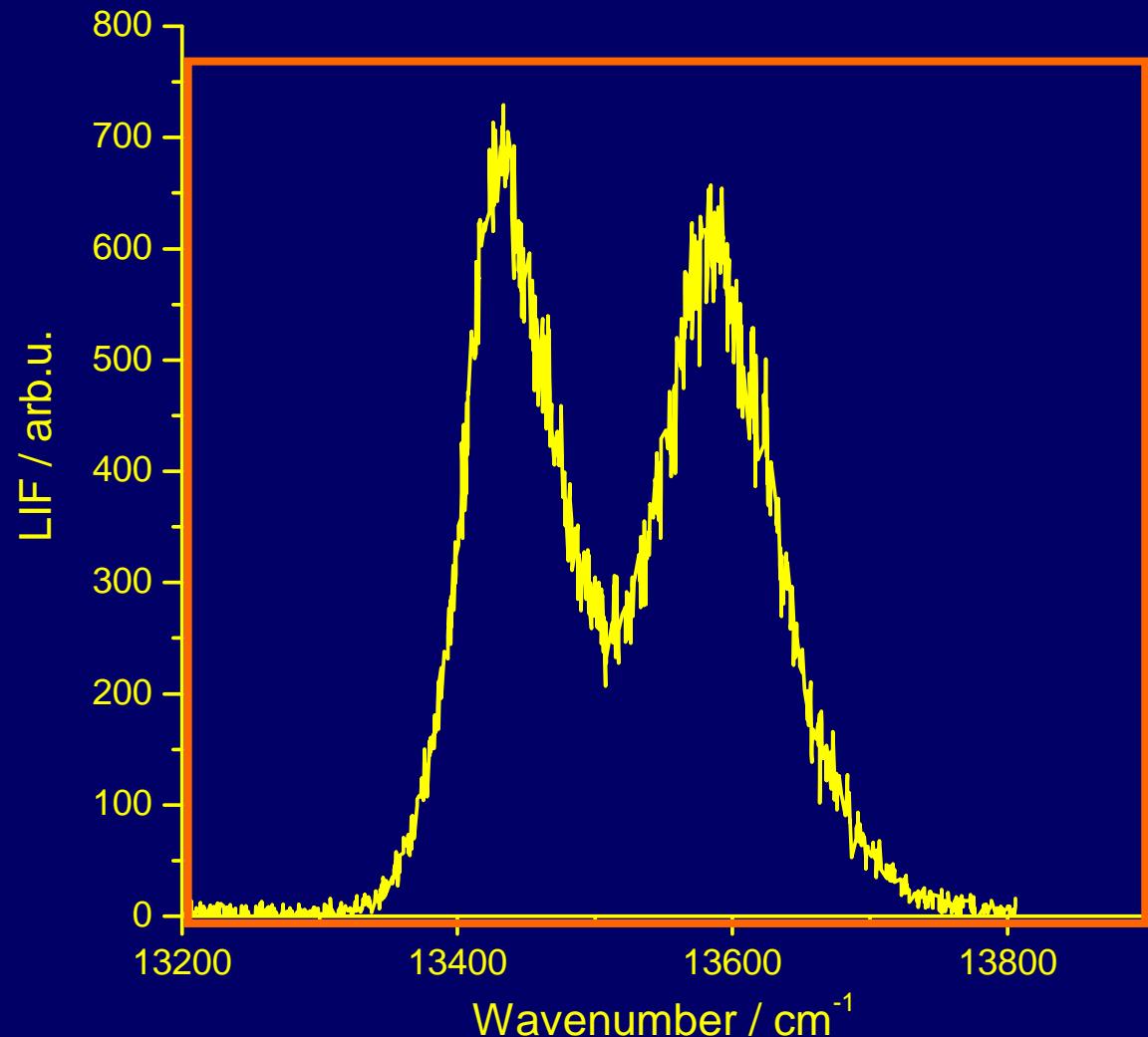


initiate reactions with laser excited atoms, e.g. Cs 7p + H<sub>2</sub>

## LIF spectra of K + Rb attached to He nanodroplets

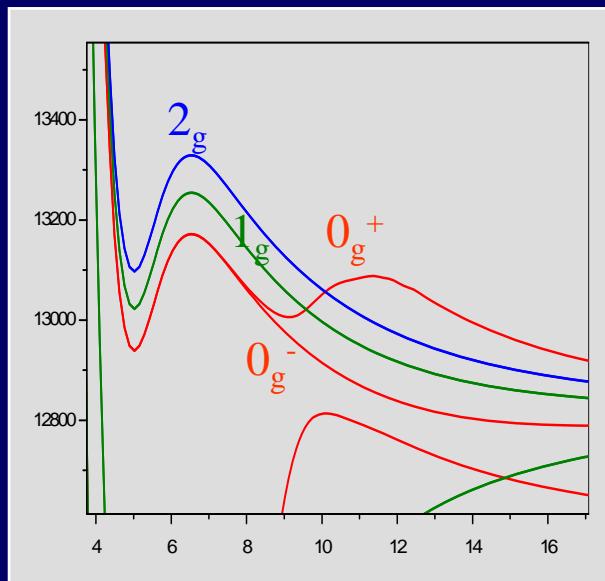


## Rb on He nanodroplets: $\text{Rb}_2$ $1\ ^3\Pi_g \leftarrow 1\ ^3\Sigma_u^+$

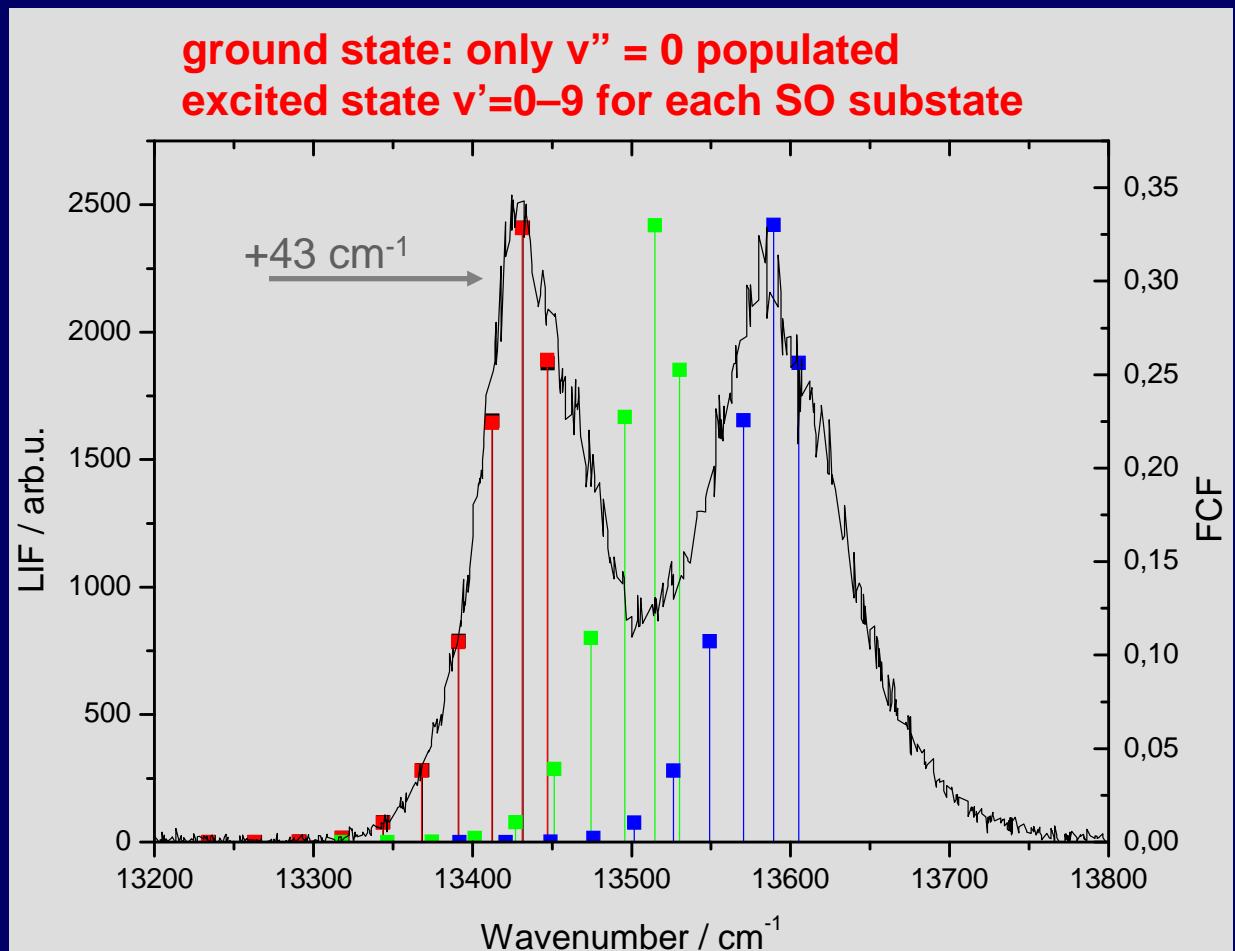


# Comparison of Frank-Condon factors to LIF spectrum

ab-initio potential energy curves  
from O. Dulieu (Orsay)



ground state: only  $v'' = 0$  populated  
excited state  $v'=0-9$  for each SO substate



# Spin-orbit coupling: alkali $^3\Pi$ on helium

$$H = H_{\text{mol}} + H_d + H_{\text{SO}}$$

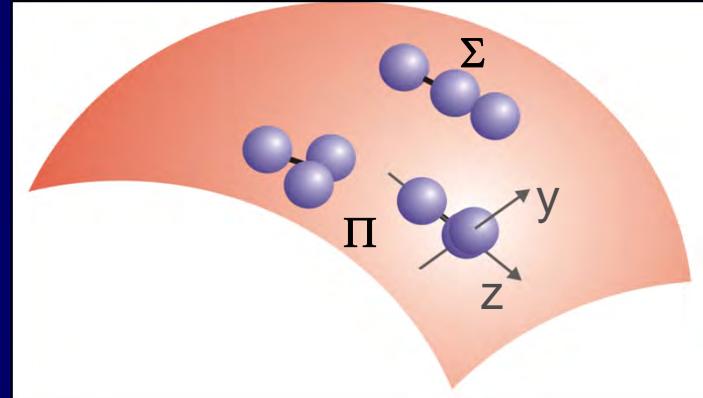
**Basis:** Eigenstates of  $H_{\text{mol}}$

components of angular momenta along z:  $|\Lambda\Sigma\rangle$

**$H_d$  interaction with helium**

(determined by integrating alkali-He pair potentials

(Pascale) weighted by the helium density distribution)



**$H_{\text{SO}}$ : Well known spin orbit Hamiltonian in  $|\Lambda\Sigma\rangle$  basis  
(approximated R independent)**

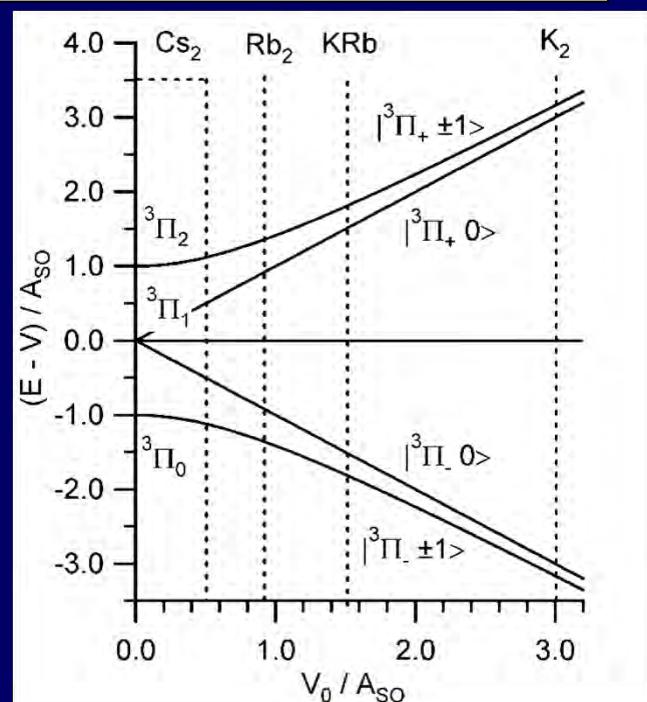
**In general: 12x12 matrix**



Gerald Auböck

**Tendencies:**  
 $\text{Cs}_2$  at left end,  
others between  
1 and 3 on horizontal scale

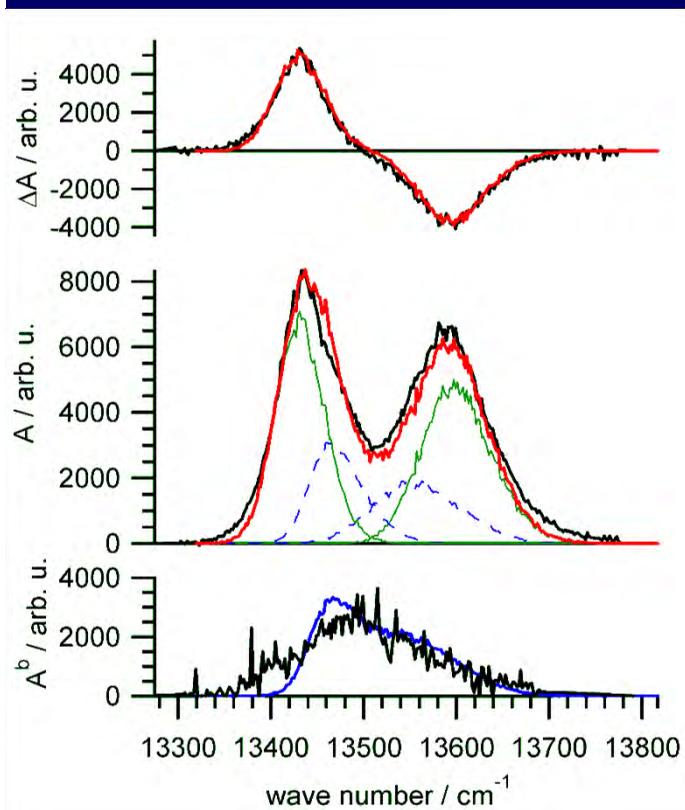
**J. Phys. Chem. A111, 7404 (2007),  
in memory of Roger Miller**



# Our model incl. Zeeman effect

(G. Auböck, J. Nagl, C. Callegari, and W.E. Ernst, J. Phys. Chem. A, 2007)

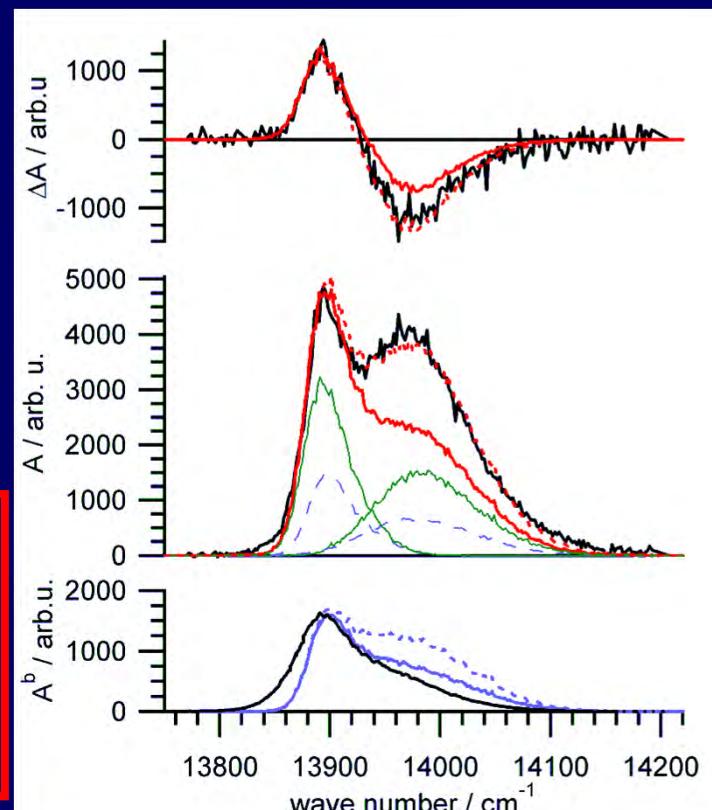
Rb<sub>2</sub>: LIF, MCD with Simulation

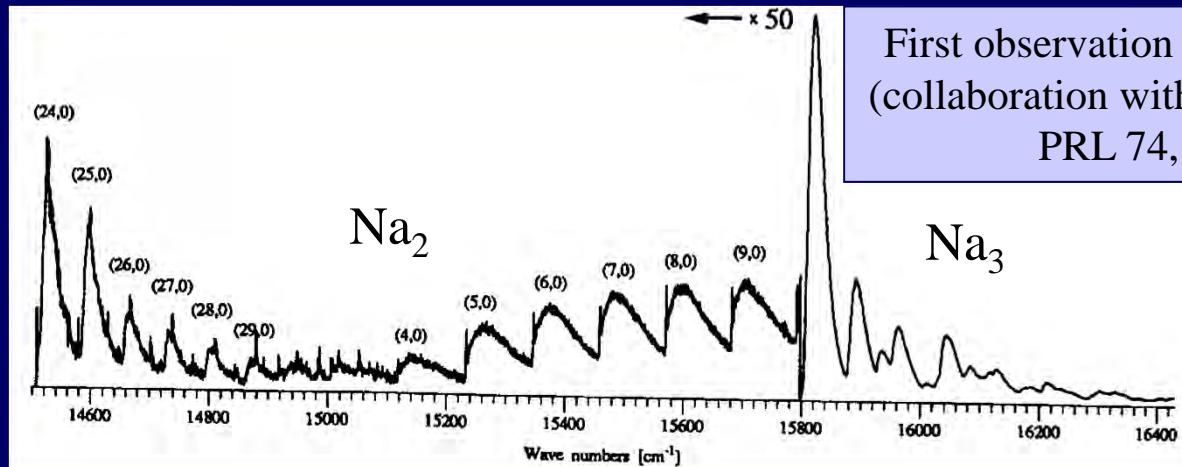


Zeeman populations correspond to 0.37 K temperature, i.e the same as inside the droplet.  
 $\tau < 40\mu\text{s}$

First measurement of the surface temperature of the droplet

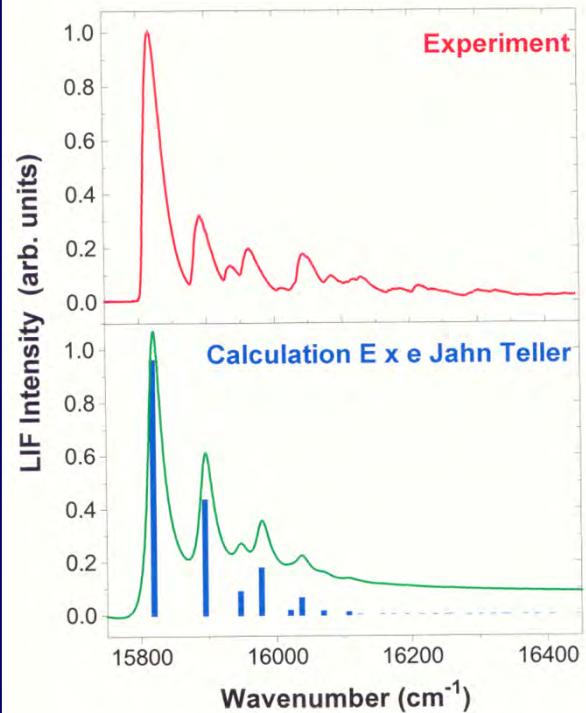
K<sub>2</sub>: LIF, MCD with Simulation



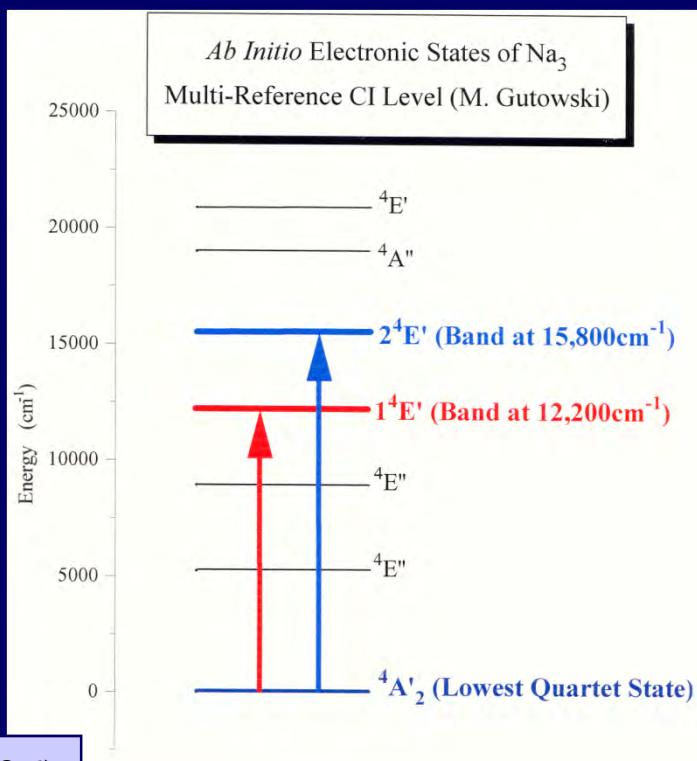


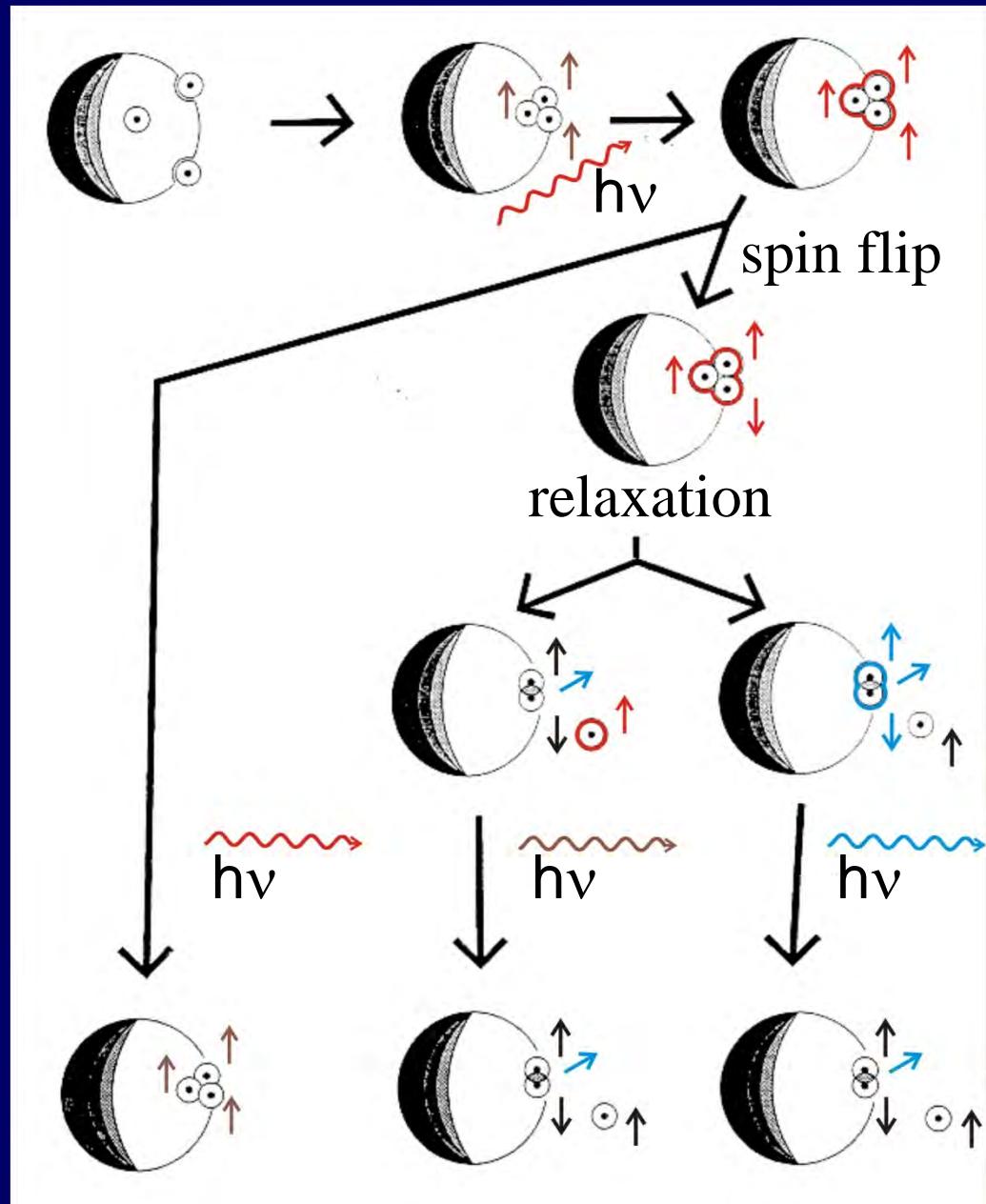
First observation of trimers on droplets  
(collaboration with G. Scoles, Princeton)  
PRL 74, 3592 (1995)

$\text{Na}_3$   $2^4\text{E}' \leftarrow 1^4\text{A}_2'$  Excitation Spectrum



PRL 77, 4532 (1996)





*spinpolarized  
trimer*

$$E_{bind} \approx 850 \text{ cm}^{-1}$$

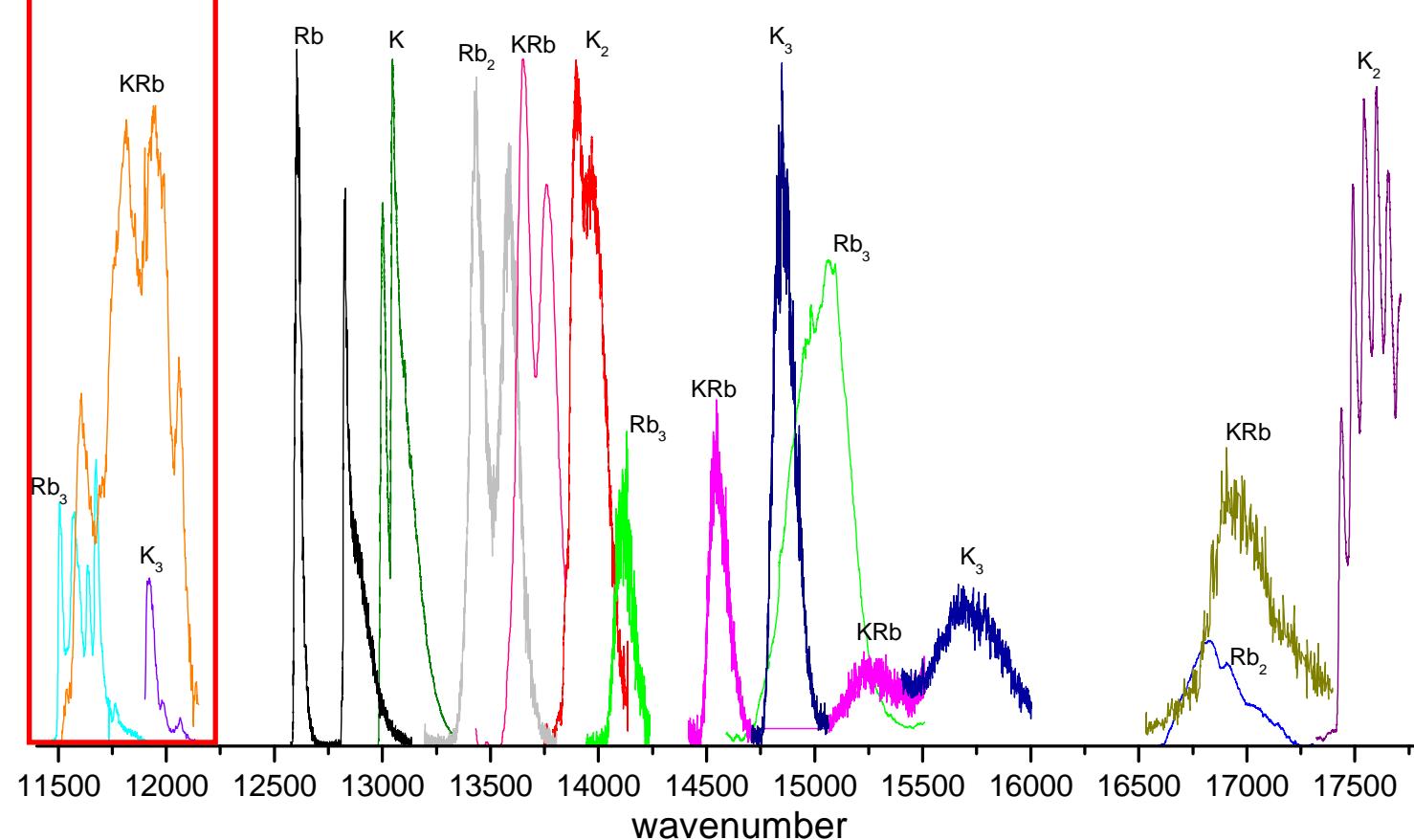
4.4 Å

( $\sim 700 \text{ cm}^{-1}$   
three-body int.)

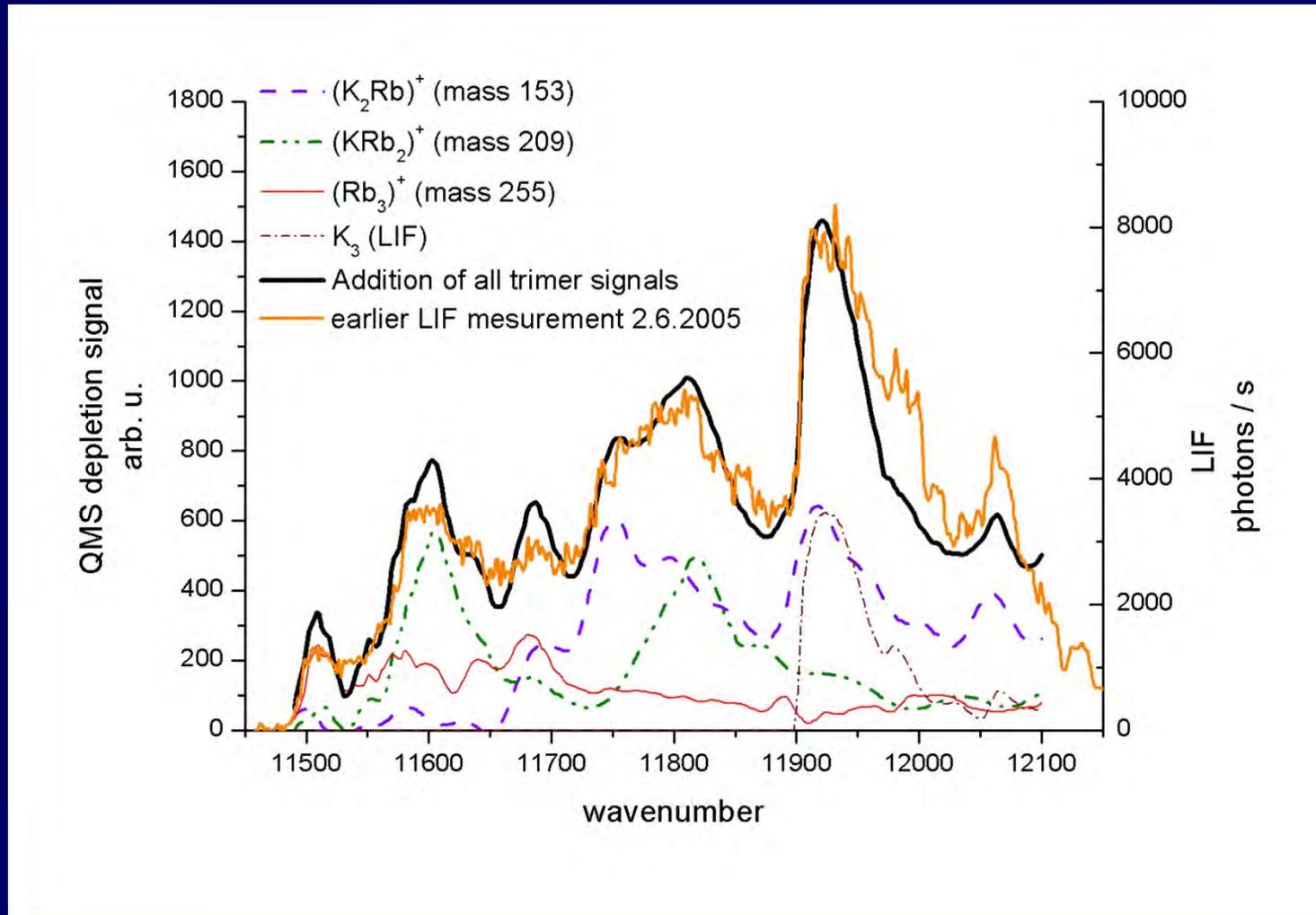
Photoinduced  
Spin Dynamics

Science 273, 629 (1996)

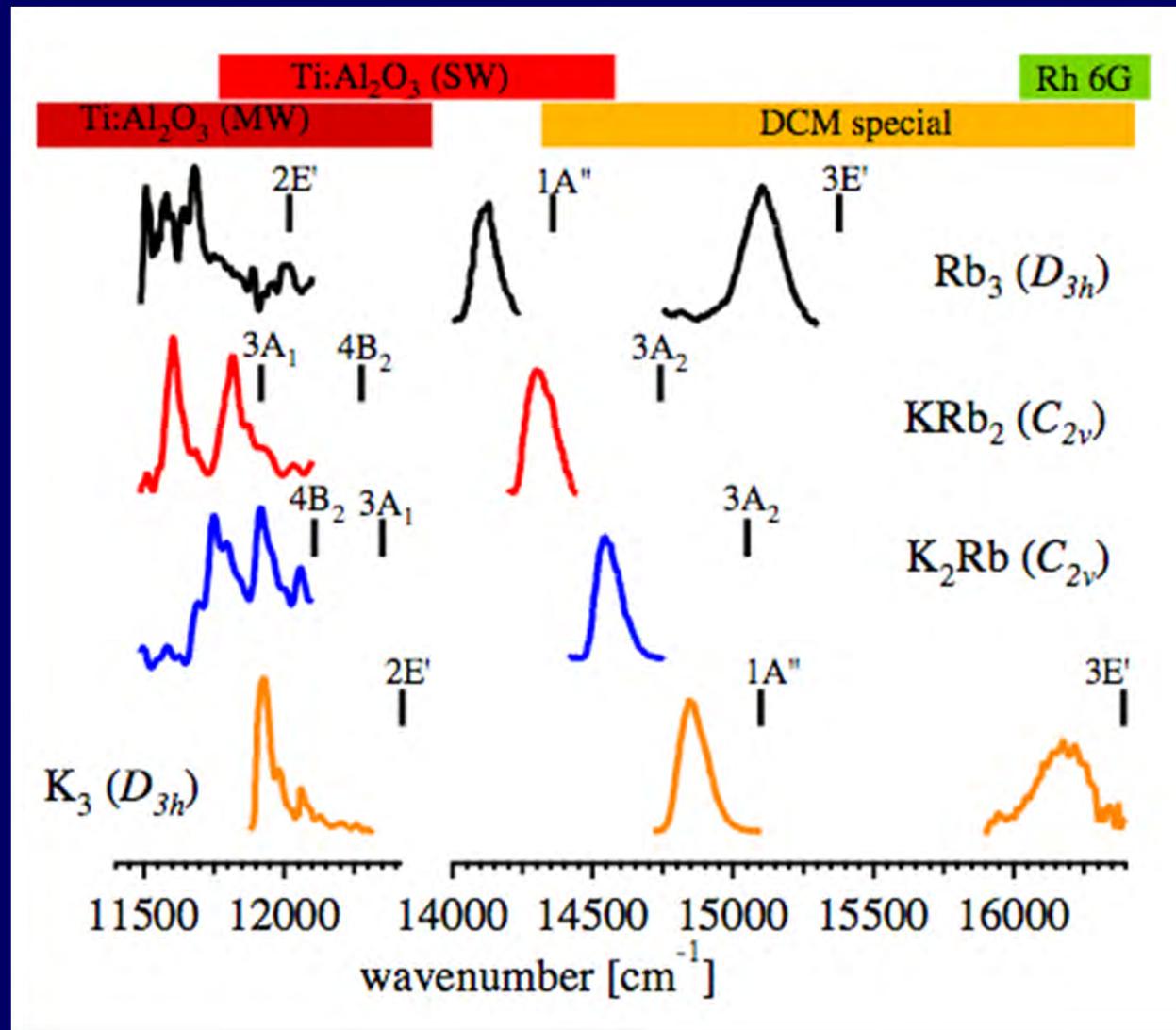
## LIF spectra of K + Rb attached to He nanodroplets



# Depletion spectra with mass selective detection (use quadrupole mass spectrometer)



# Alkali trimer quartet state excitations



(Phys. Rev. Lett. 100, 063001-1-4 (2008))

Calculations:

MOLPRO,  
Complete Active Space  
Self Consistent Field  
(CASSCF) & CASPT2

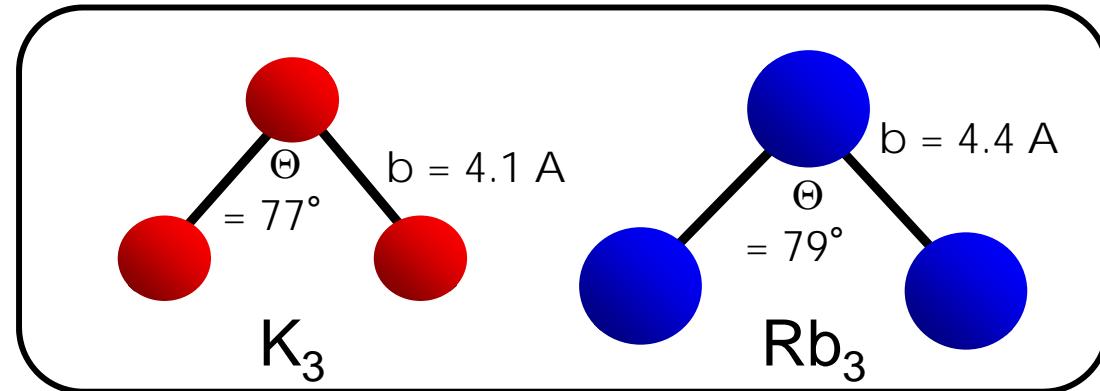


Andreas W. Hauser

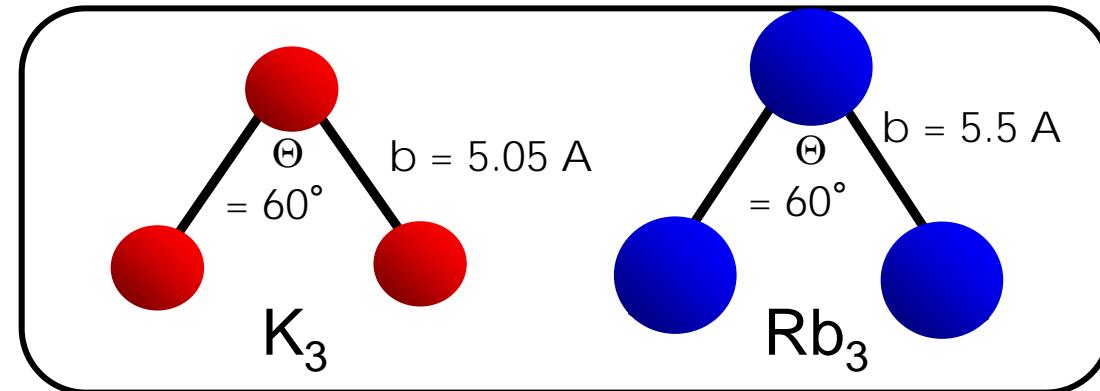
# Quartet trimers: Electronic structure

# Homonuclear Alkali Trimers $K_3$ and $Rb_3$

**strongly bound  
low-spin  
 $^2E'$  ground state**

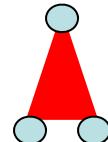
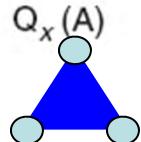
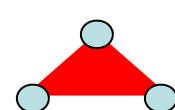
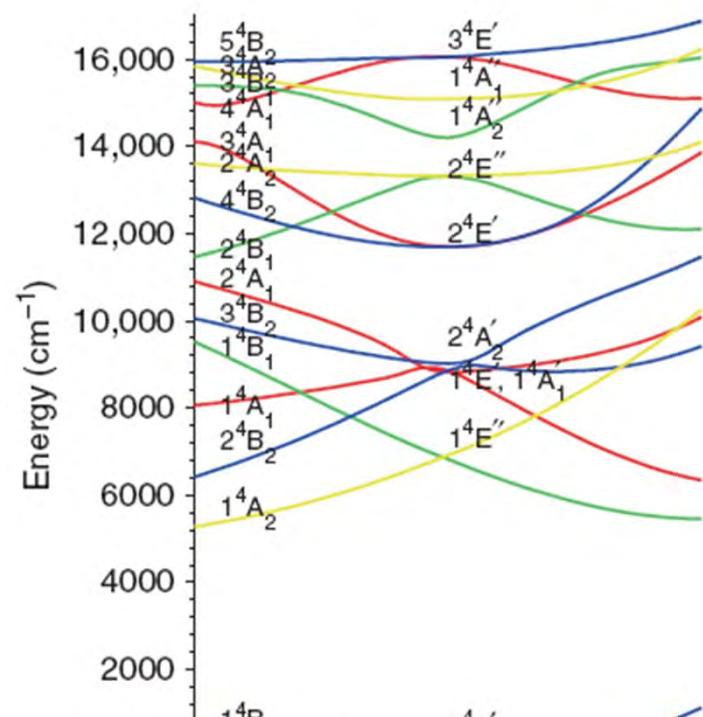


**More weakly bound  
(van der Waals) high-spin  
 $^4A_2'$  ground state**



Geometry optimization at the RHF-CCSD(T) level of theory

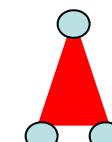
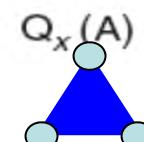
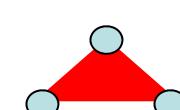
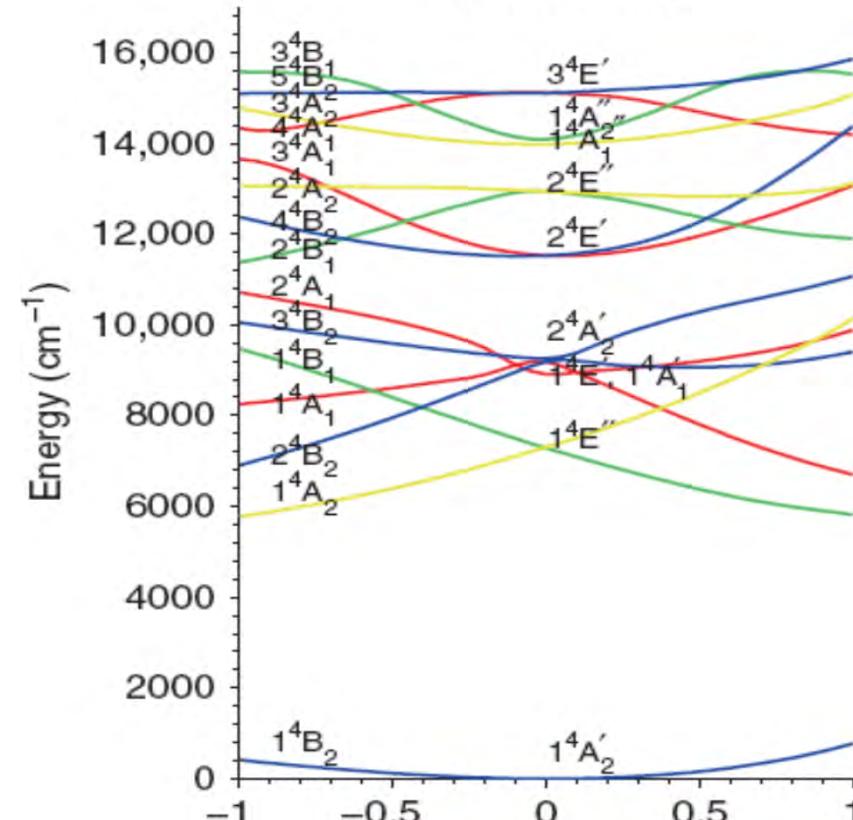
Quartet  $K_3$



Geometry optimization at the RHF-CCSD(T) level of theory

Introduction of normal coordinates

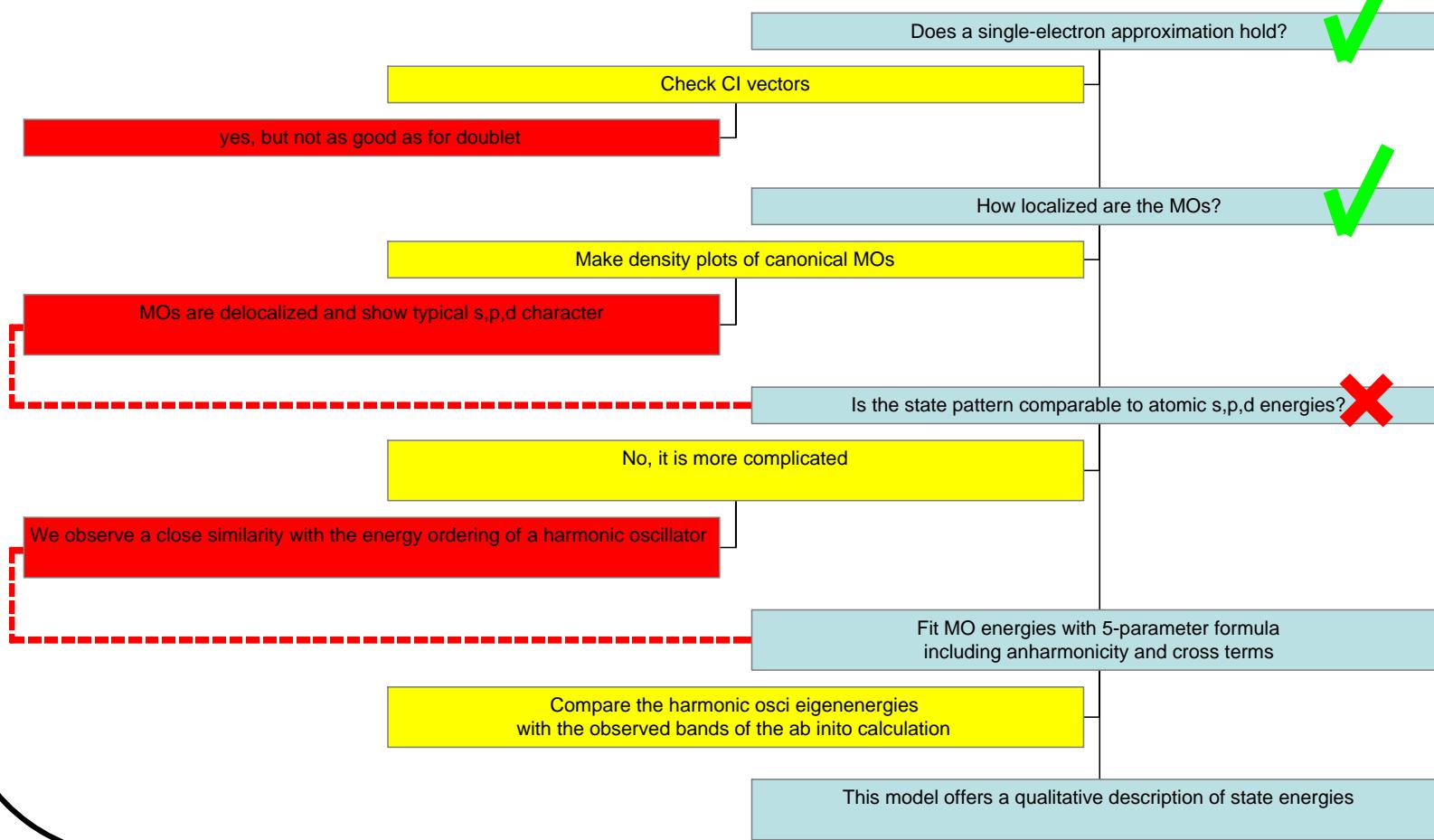
Quartet  $Rb_3$



Scan over Q<sub>x</sub> coordinate

# A shell model for the quartet states

A borrowed approximation: harmonic oscillator eigenfunctions



## A shell model for the quartet states

Time-independent Schrödinger equation  
in N dimensions:

$$\left[ -\frac{\hbar^2}{2m} \nabla_N^2 + V_N \right] \psi = E \psi$$

*dimension!*

Choose isotropic harmonic oscillator potential:

$$V(r) = \frac{1}{2} m \omega^2 r^2$$

Apply factorization method:

$$\psi = R_{n,L}^{(N)}(r) Y_L^M(\theta_i)$$

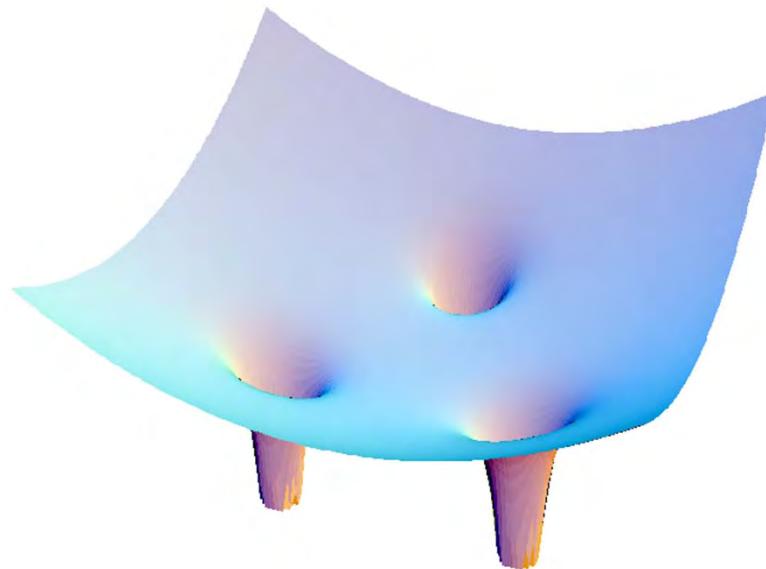
Solutions:

$$R_{n,L}^{(N)}(r) = \left[ \frac{2n!}{\Gamma(n+L+N/2)} \right]^{1/2} r^L L_n^{L+N/2-1}(\omega r^2) \times \exp(-\frac{1}{2}\omega r^2)$$

$$E_{n,L}^{(N)} = (2n + L + N/2)\omega$$

$\nearrow \mathcal{N}=2 (x,y)$   
 $\searrow \mathcal{N}=1 (z)$

## A shell model for the quartet states

 $(x, y)$  $(z)$ 

$$hc\tilde{\nu}_\rho(2n + |\ell| + 1) \equiv hc\tilde{\nu}_\rho(n_\rho + 1)$$

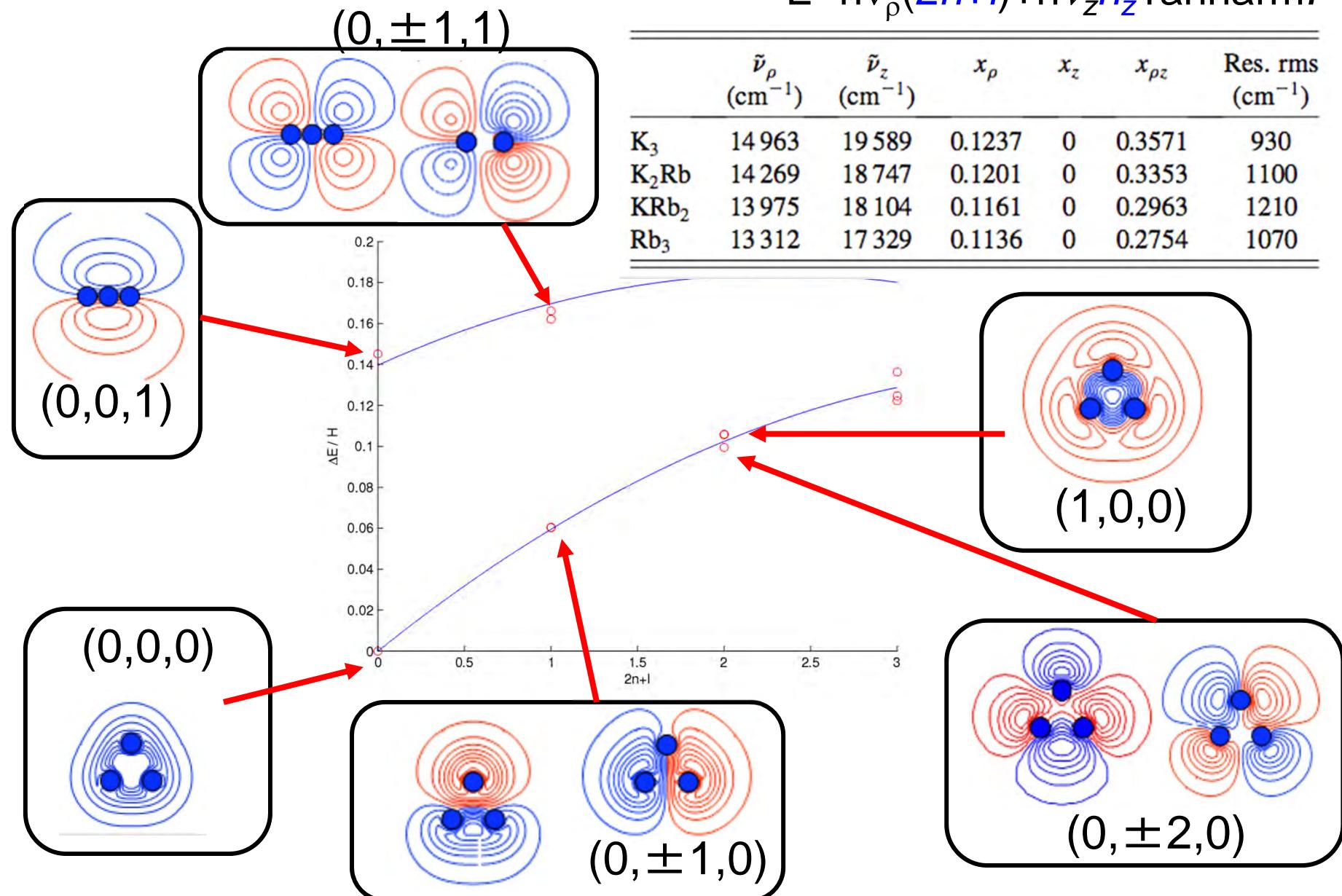
$$hc\tilde{\nu}_z(n_z + 1/2)$$

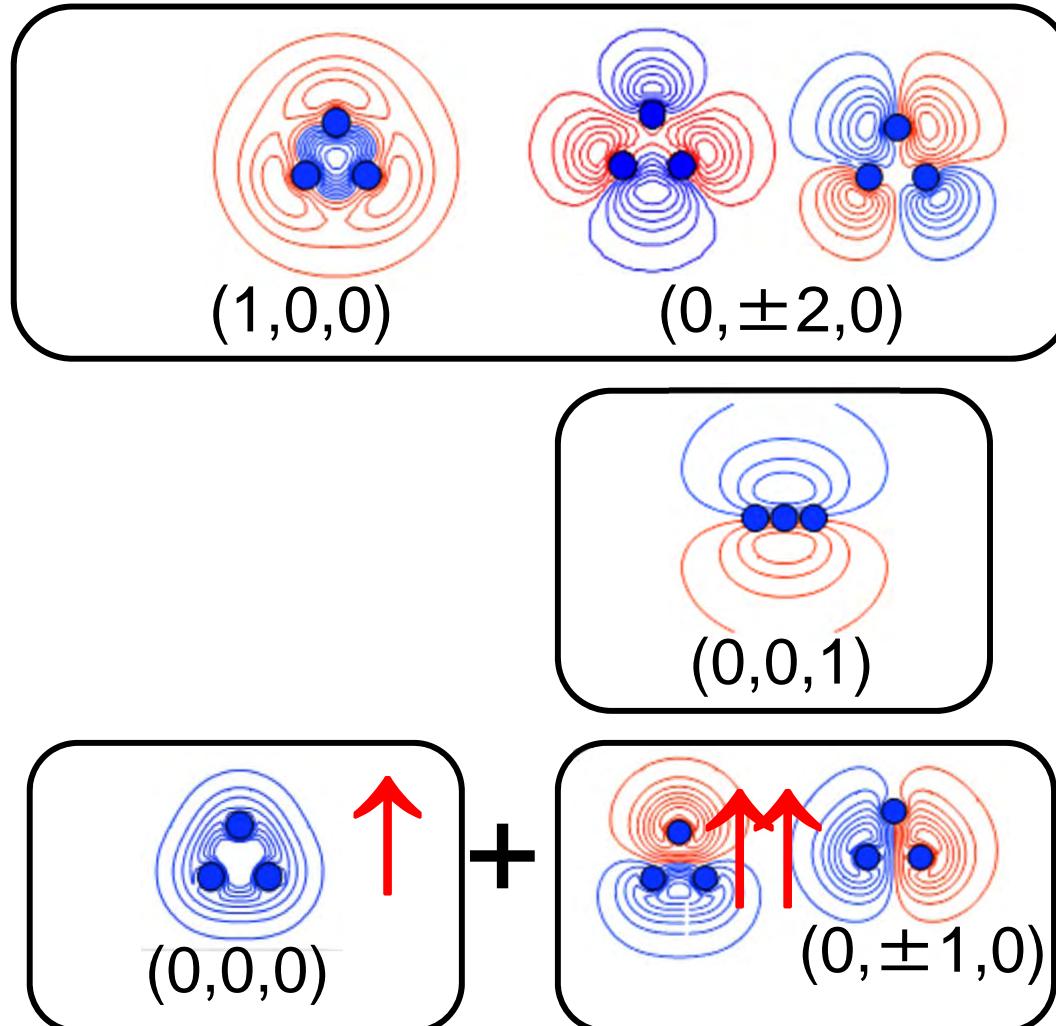
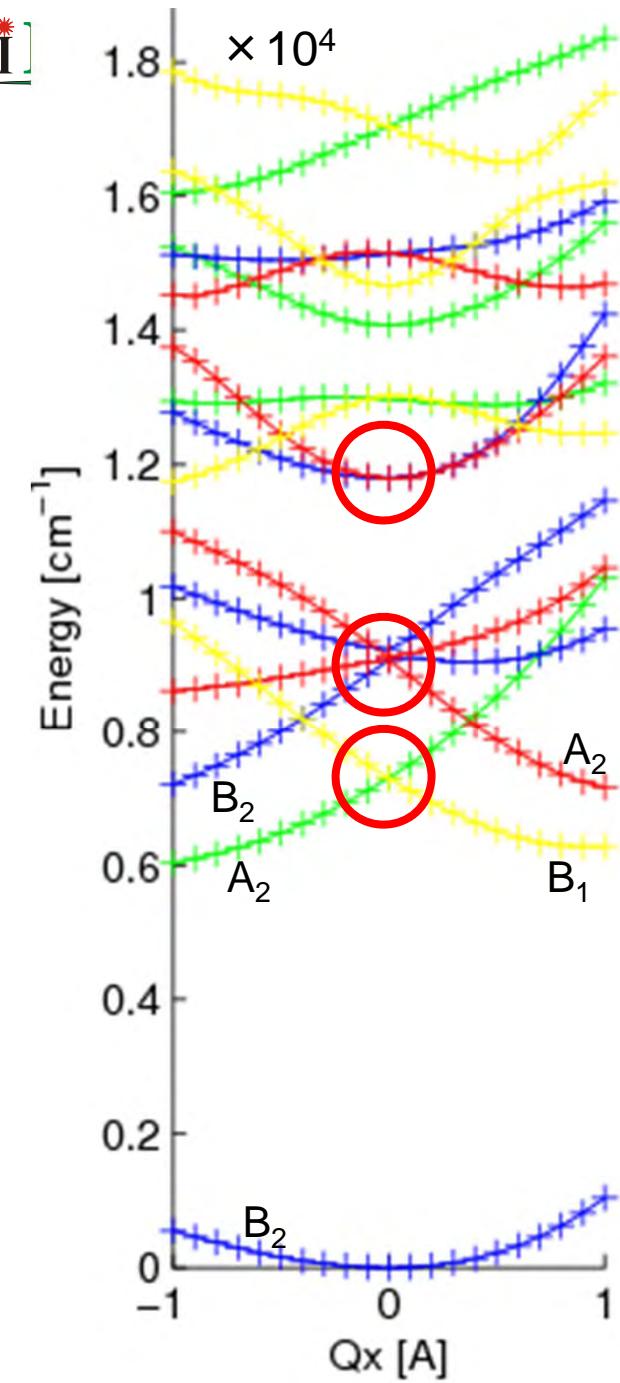
$$\frac{\Delta E/hc = n_\rho \tilde{\nu}_\rho + n_z \tilde{\nu}_z - x_\rho n_\rho^2 \tilde{\nu}_\rho}{-x_z n_z^2 \tilde{\nu}_z - x_{\rho z} n_\rho n_z \sqrt{\tilde{\nu}_\rho \tilde{\nu}_z}}$$

## A shell model for the quartet states

$$E = \hbar\nu_{\rho}(2n+l) + \hbar\nu_z n_z + \text{anharm.}$$

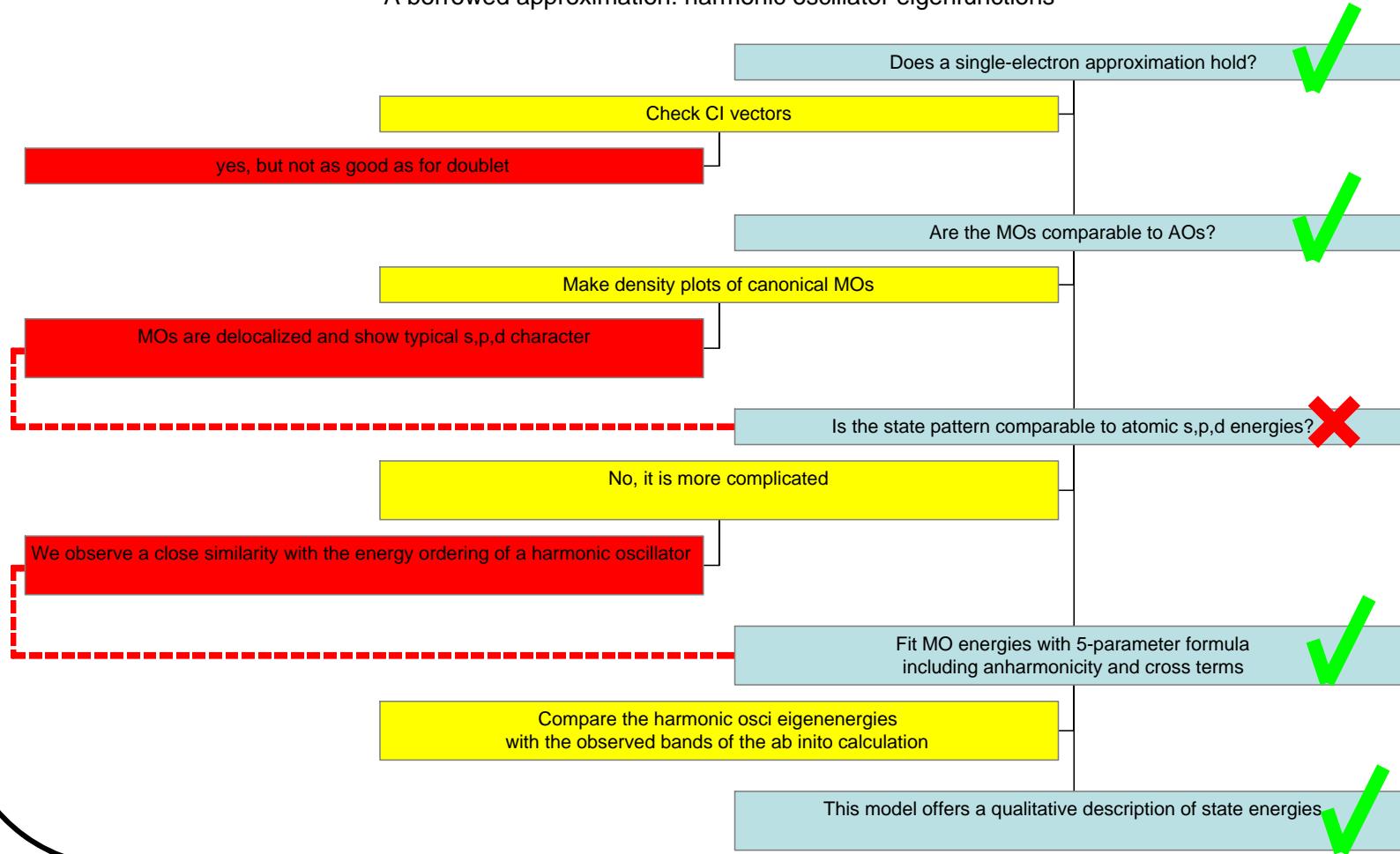
	$\tilde{\nu}_{\rho}$ (cm <sup>-1</sup> )	$\tilde{\nu}_z$ (cm <sup>-1</sup> )	$x_{\rho}$	$x_z$	$x_{\rho z}$	Res. rms (cm <sup>-1</sup> )
K <sub>3</sub>	14 963	19 589	0.1237	0	0.3571	930
K <sub>2</sub> Rb	14 269	18 747	0.1201	0	0.3353	1100
KRb <sub>2</sub>	13 975	18 104	0.1161	0	0.2963	1210
Rb <sub>3</sub>	13 312	17 329	0.1136	0	0.2754	1070





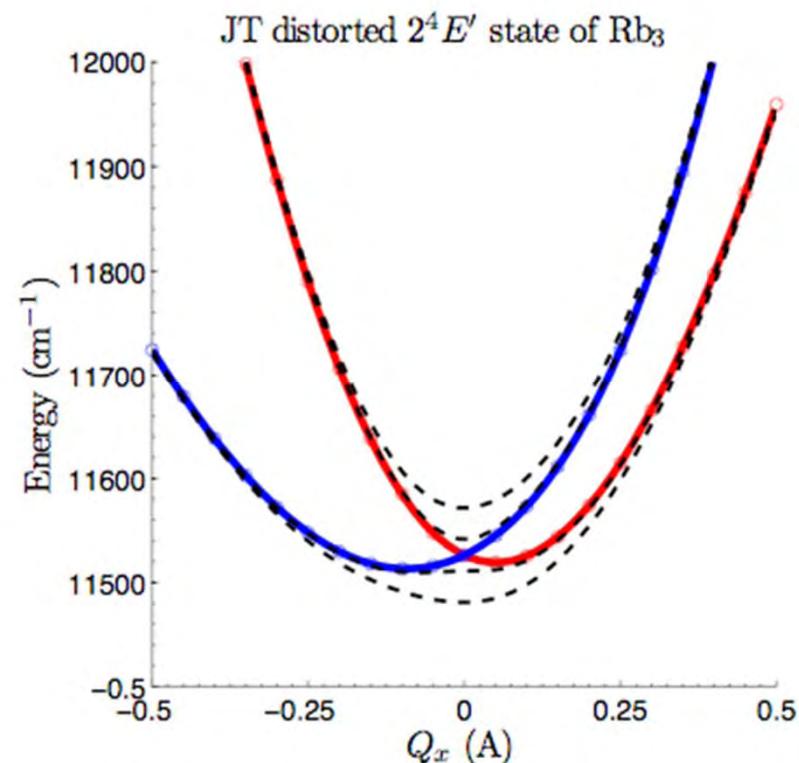
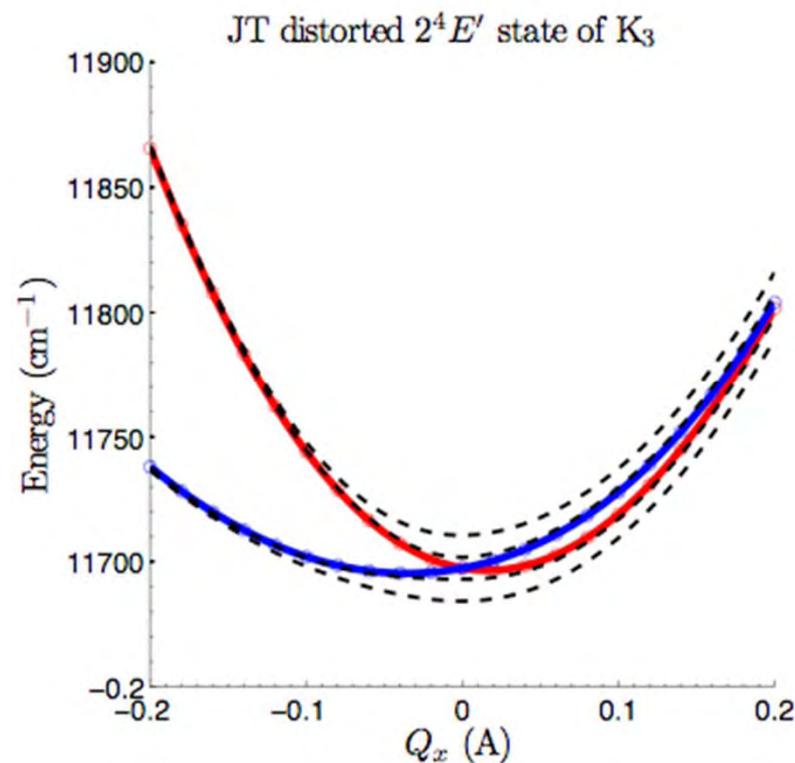
## A shell model for the quartet states

A borrowed approximation: harmonic oscillator eigenfunctions



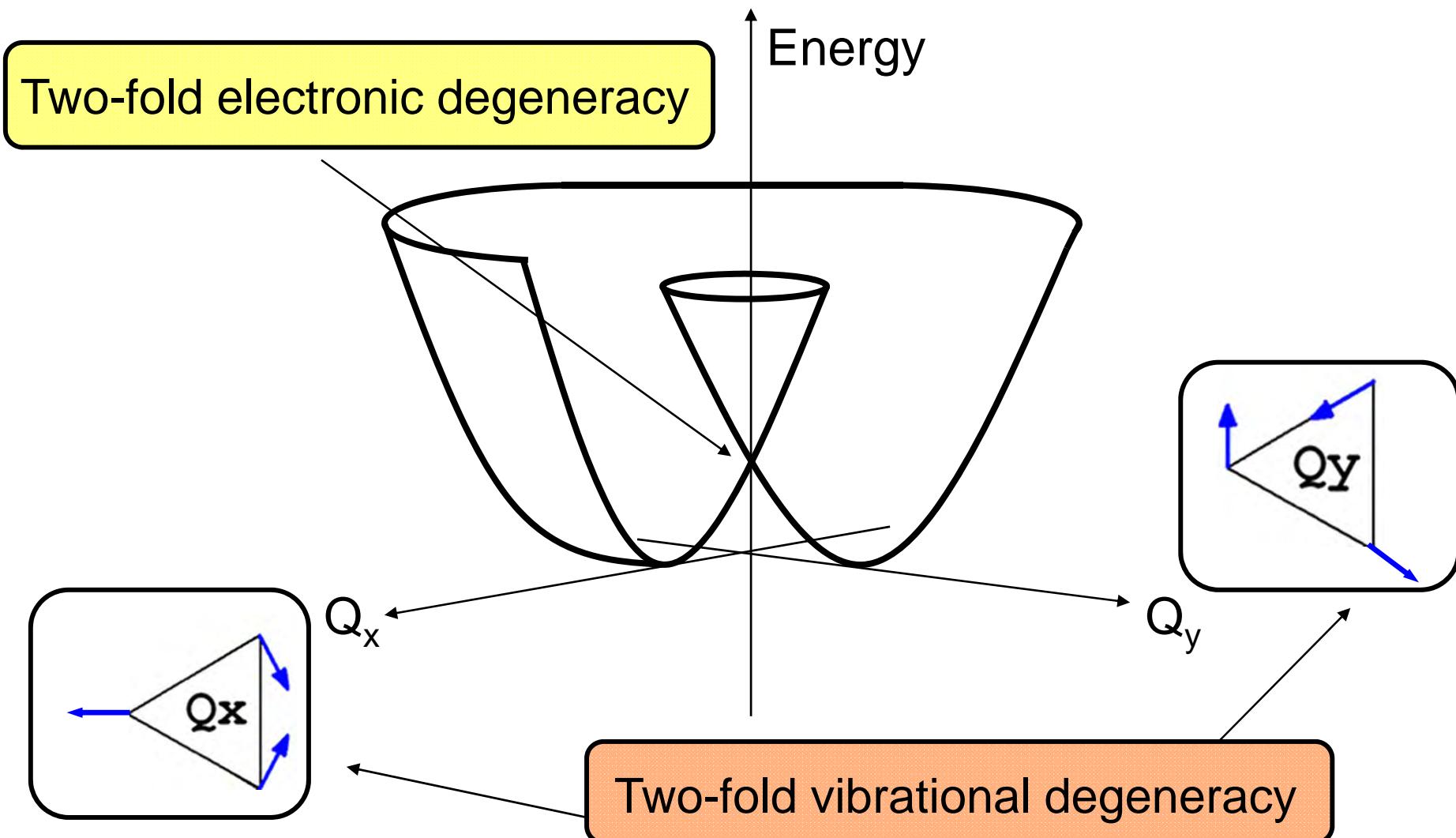
# Vibronic spectra

## Further refinement: SO-coupling



CASPT2 + ECP-LS

## Jahn-Teller effect theory



# Relativistic Jahn-Teller effect theory

Adiabatic energy surfaces are obtained by diagonalization of  $H_e + H_{SO}$ :

2×2 potential surfaces !

The diagram shows the decomposition of the total energy  $=f(Q_x, Q_y)$  into its components. The harmonic force term is represented by a horizontal line. The spin-orbit splitting is shown as a vertical arrow pointing downwards from the harmonic line. The JT parameters are shown as arrows pointing from the harmonic line to two separate terms below. The first term is labeled "Linear JT parameter" and the second is labeled "Quadratic JT parameter".

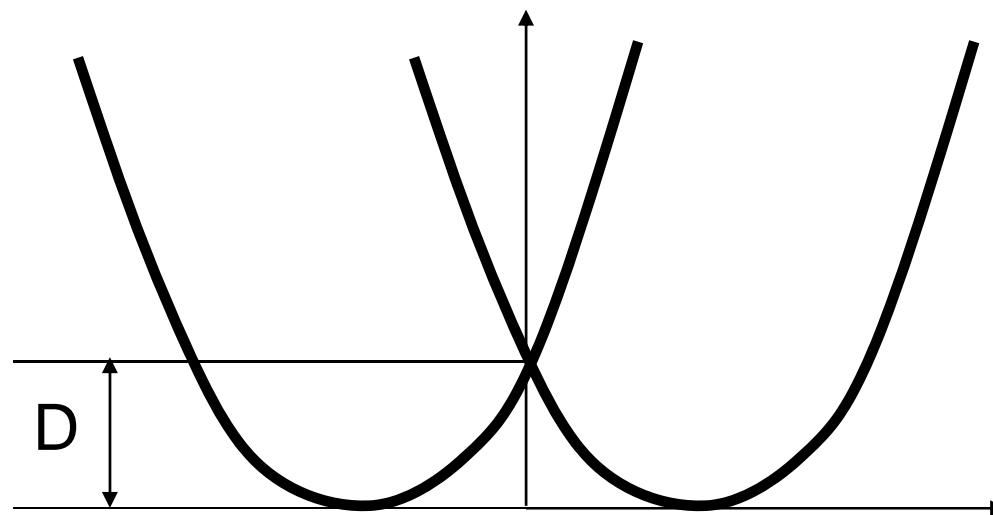
$$\epsilon_{\frac{1}{2}\pm} = \frac{1}{2}r^2 \pm \left[ \Delta'^2 + k^2r^2 + kg \cos(3\phi)r^3 + \frac{g^2}{4}r^4 \right]^{\frac{1}{2}}$$

$$\epsilon_{\frac{3}{2}\pm} = \frac{1}{2}r^2 \pm \left[ (3\Delta')^2 + k^2r^2 + kg \cos(3\phi)r^3 + \frac{g^2}{4}r^4 \right]^{\frac{1}{2}}$$

## Jahn-Teller parameters

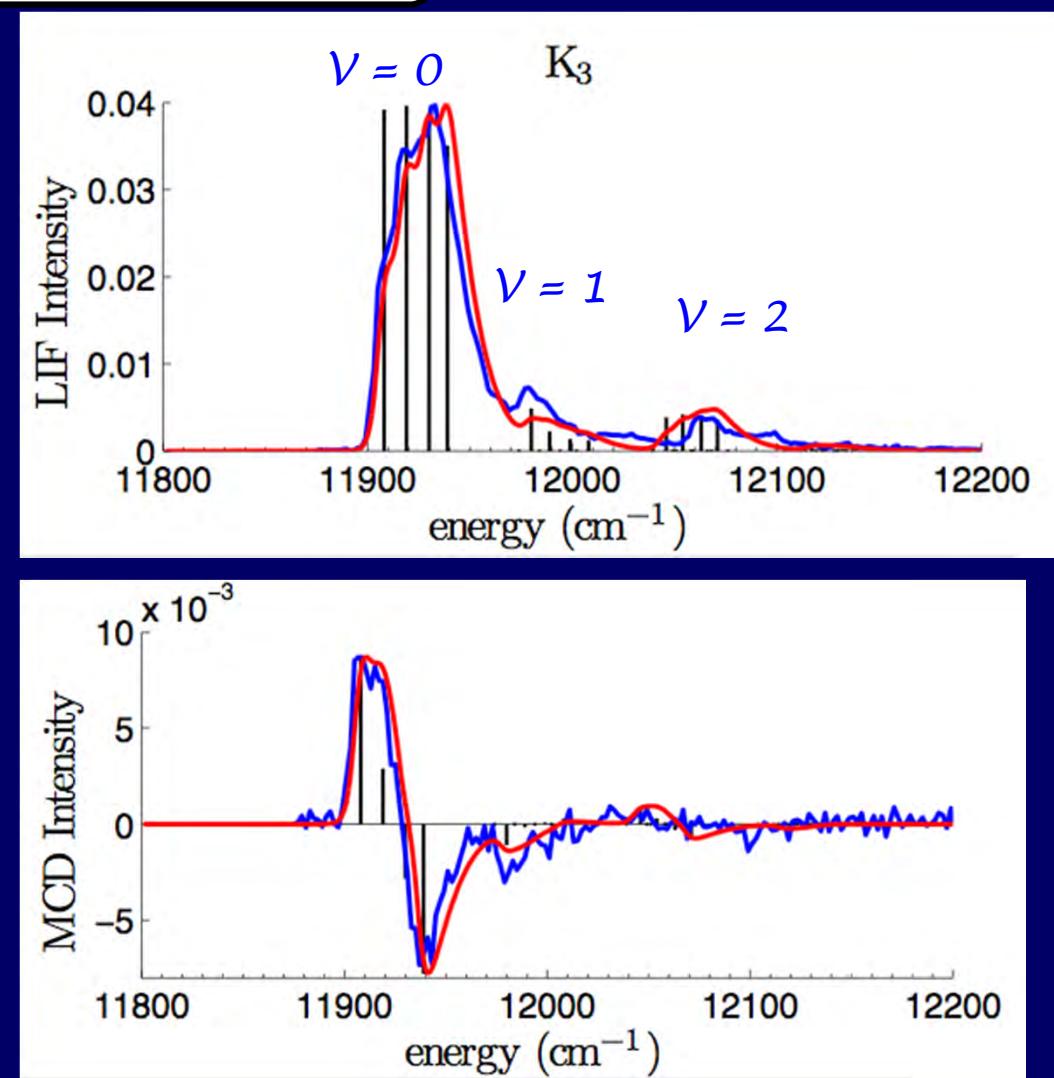
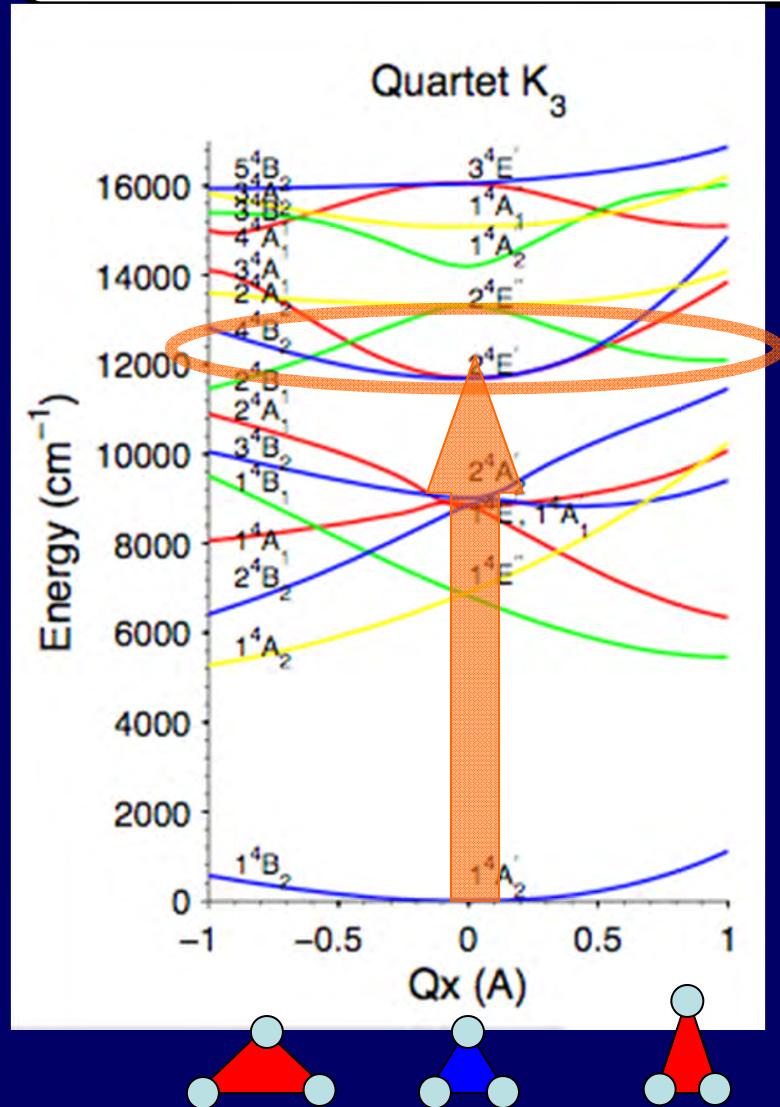
Parameters in dimensionless units:

trimer	$D$	$k$	$g$	$\Delta'$	$\omega$ ( $\text{cm}^{-1}$ )
$\text{K}_3$	0.0202	0.2010	-0.3110	0.0656	67.1
$\text{Rb}_3$	0.2500	0.7071	-0.1774	0.3578	42.2

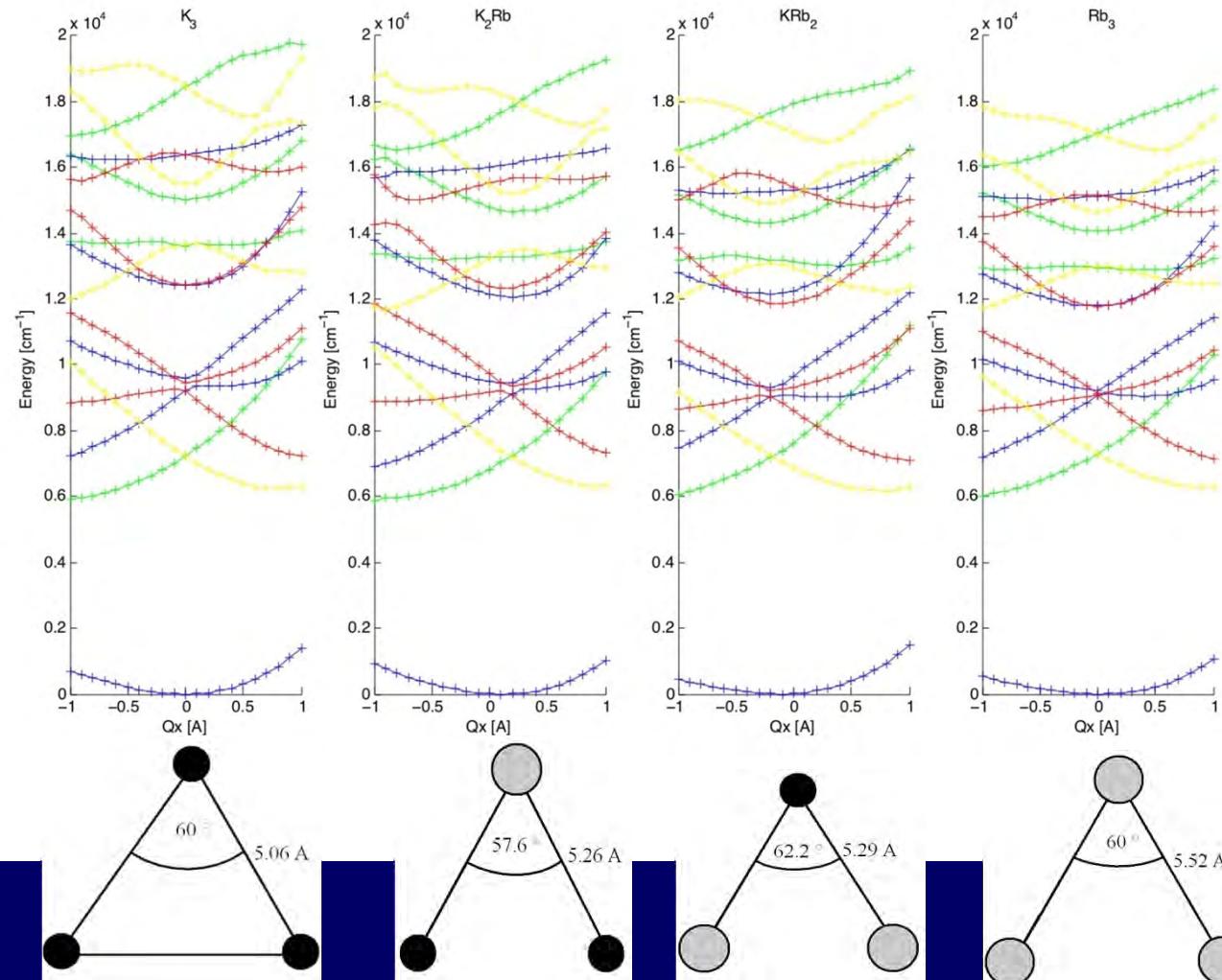


$$E_{JT}/(\hbar\omega) = k^2/2 = D$$

## Vibronic spectra: the $1^4A_2' \rightarrow 2^4E'$ transition



# Alkali trimer quartet state excitations



K<sub>3</sub> and Rb<sub>3</sub>

(quartet):  
Hauser, Auböck,  
Callegari, Ernst,  
J. Chem.Phys. **132**,  
164310 (2010)

(doublet):  
Hauser, Callegari,  
Soldan, Ernst,  
J. Chem. Phys. **129**,  
044307 (2008)  
and  
spectral predictions:  
Chem.Phys.  
(in print)

heteronuclear:  
in preparation

# Level Structure and Magnetic Properties from One-Electron Atoms to Clusters with Delocalized Electronic Orbitals: Shell Models for Alkali Trimers

by A.W. Hauser, C. Callegari, W.E. Ernst

In: P. Piecuch et al. (eds.), *Advances in the Theory of Atomic and Molecular Systems*, Progress in Theoretical Chemistry and Physics 20, DOI 10.1007/978-90-481-2985-0 30, Springer Science+Business Media B.V. 2009

## Doublet states:

Electronic shell model,

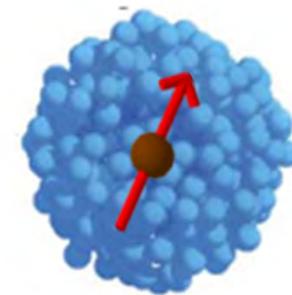
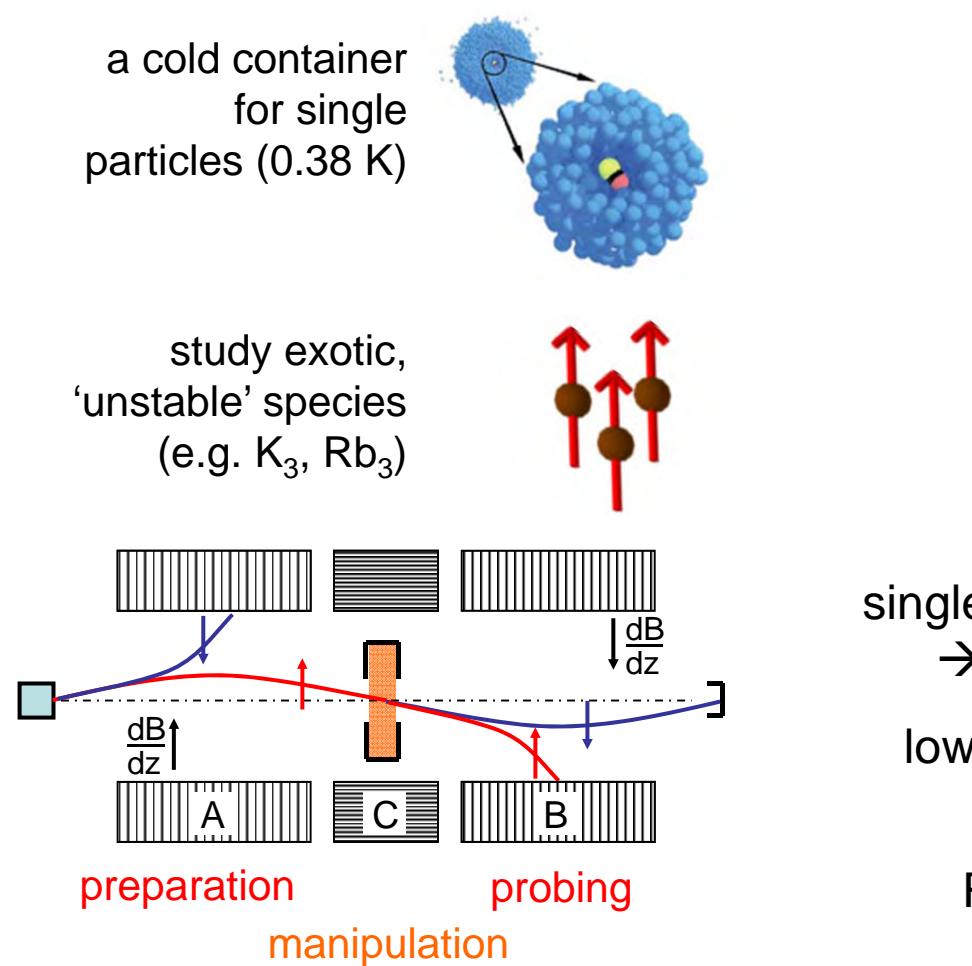
See e.g. Cocchini, Upton,  
Andreoni, J. Chem. Phys. 1989

## Quartet states:

Our model relating the electronic structure to the eigenstates of the harmonic oscillator,  
cf. single particle states in quantum dots

# Magnetic Resonance

## Superfluid helium nanodroplets



single atoms → long spin lifetime  
→ create spin polarization

low optical density → indirect detection

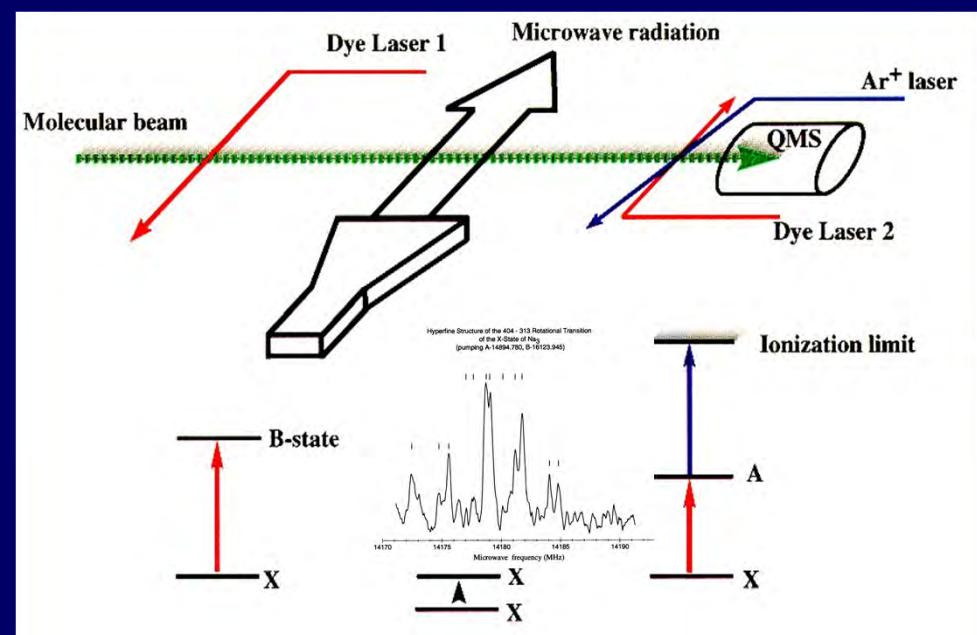
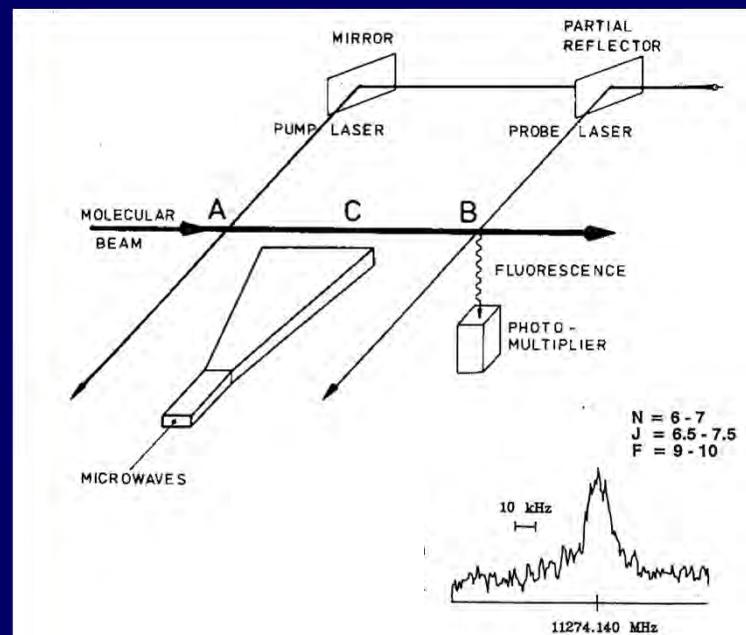
Rabi: spatial separation

# High resolution mw or rf spectroscopy?

**LIF Detection of Microwave Absorption** e.g. **RTPI Detection of Microwave Absorption**

W. E. Ernst, S. Kindt, and T. Törring,  
Phys. Rev. Lett. 51, 979(1983)

Na<sub>3</sub>, W.E. Ernst and O. Golonzka (1999)



W. E. Ernst, J. Kändler, C. Noda, J. S. McKillop and R. N. Zare,  
Hyperfine Structure of Bal, J. Chem. Phys. 85, 3735-3743 (1986).

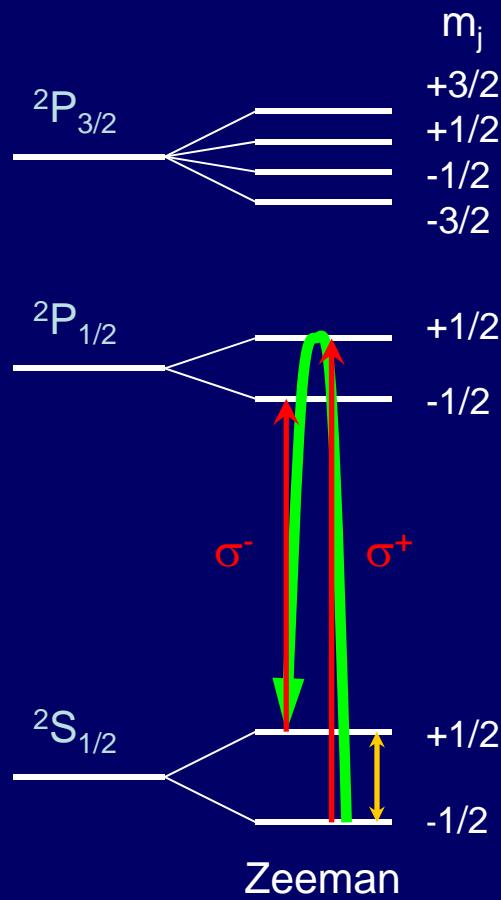
**Molecules in/on  
helium droplets:**

- Narrow linewidth on mw and IR transitions ☺
- Large linewidth on optical transitions ☹

How about polarization methods?

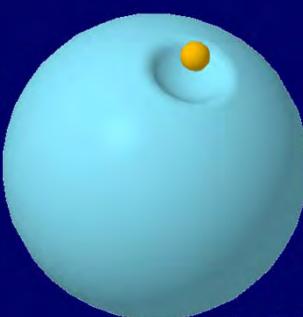
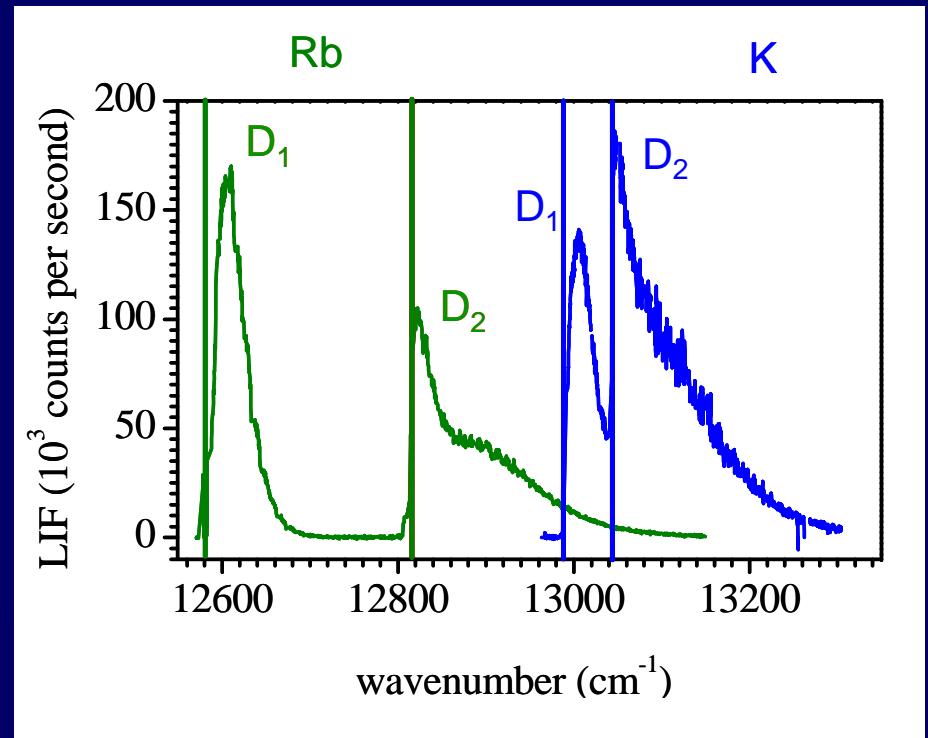
# Pumping and probing

The optical  $^2S_{1/2} \rightarrow ^2P_{1/2}$  transitions can be used to manipulate and probe spin states



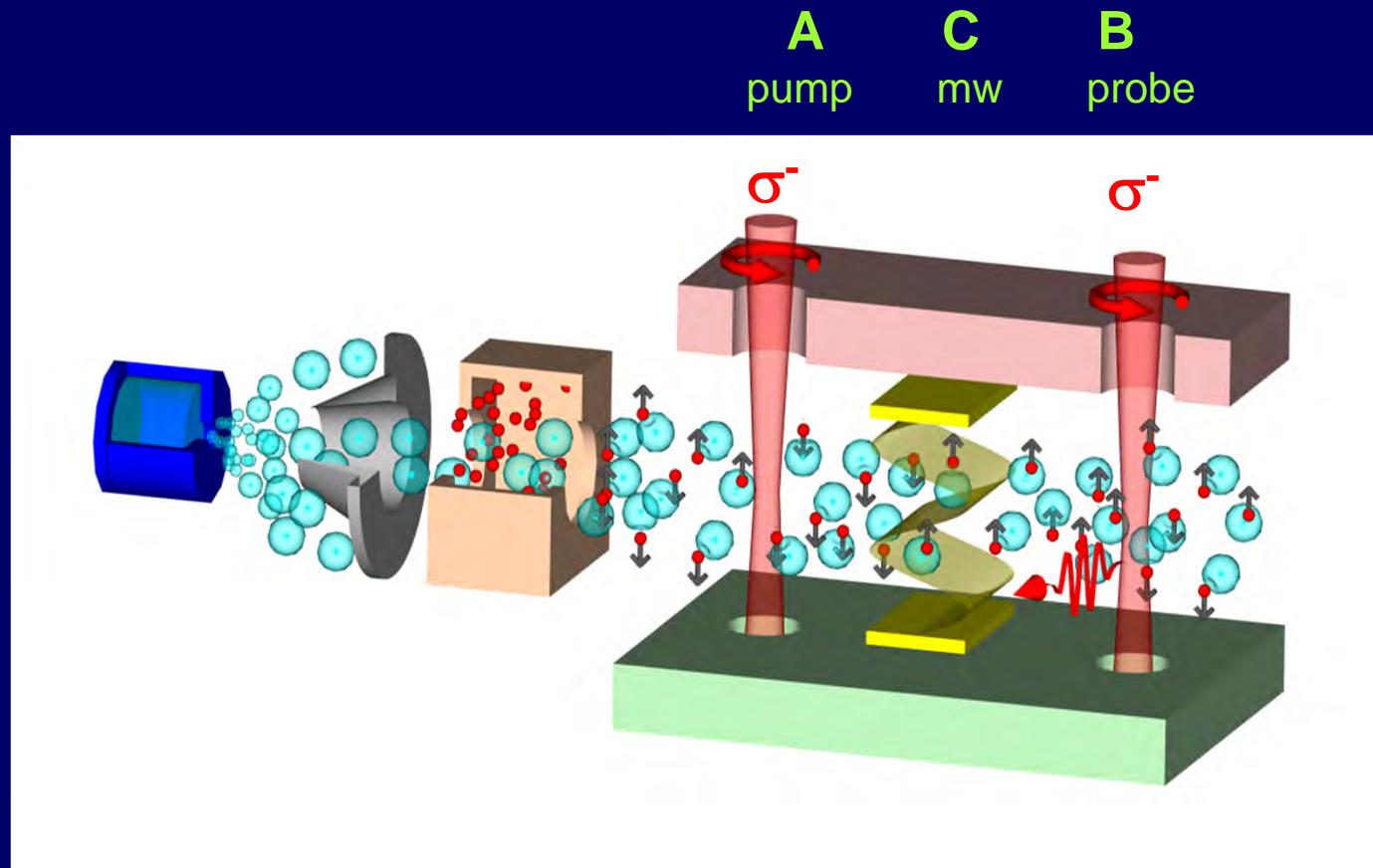
Rb can be spin polarized by optical pumping

K can be spin polarized by depletion



G. Auböck, J. Nagl,  
C. Callegari,  
and W. E. Ernst  
PRL 98, 075301(2007)  
PRL 101, 035301(2008)

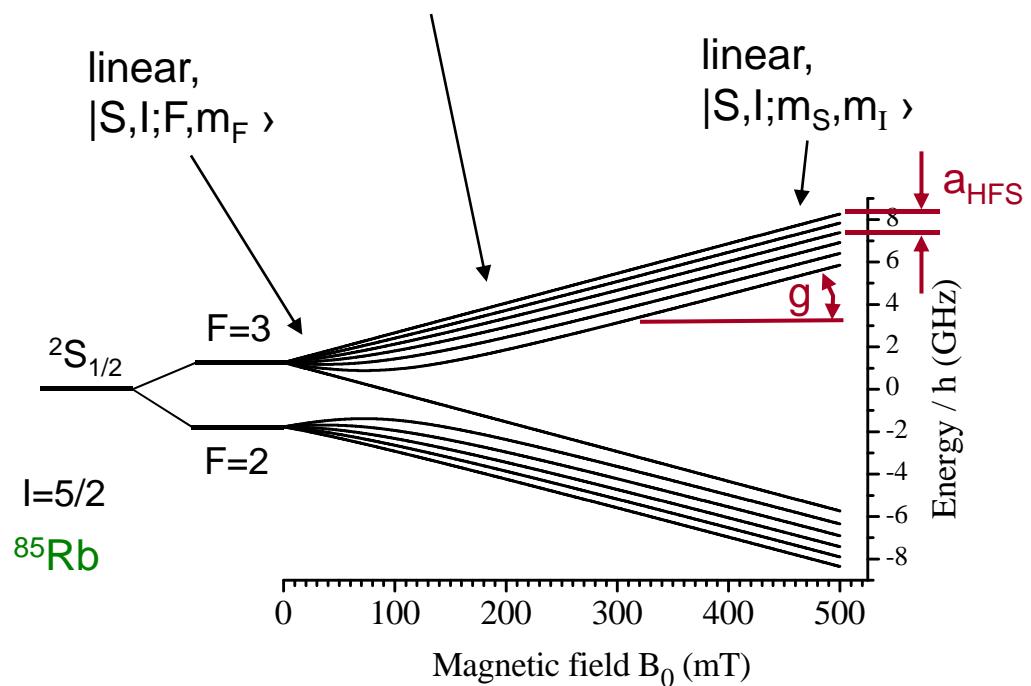
# Optically Detected ESR



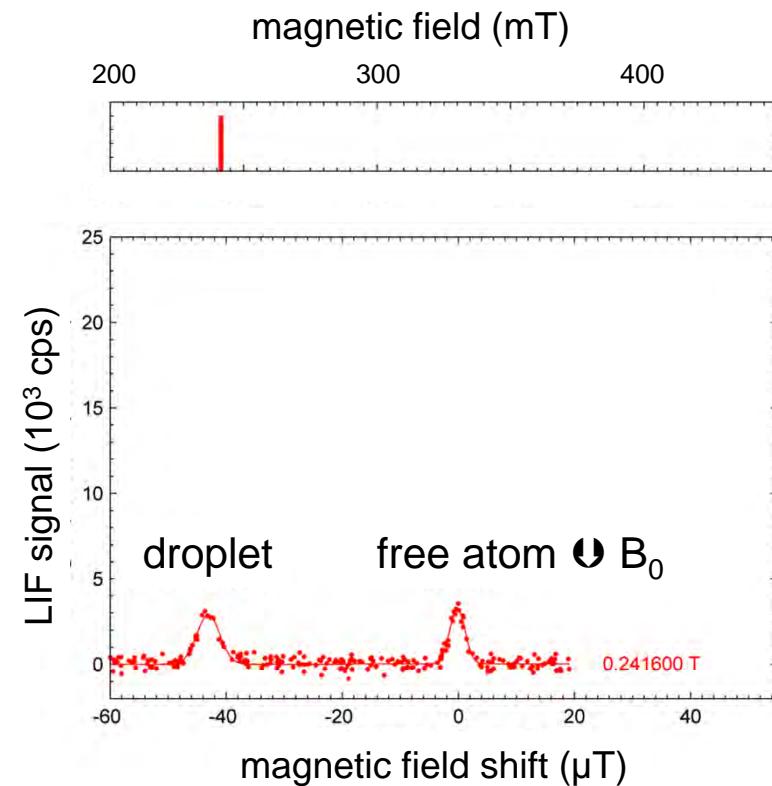
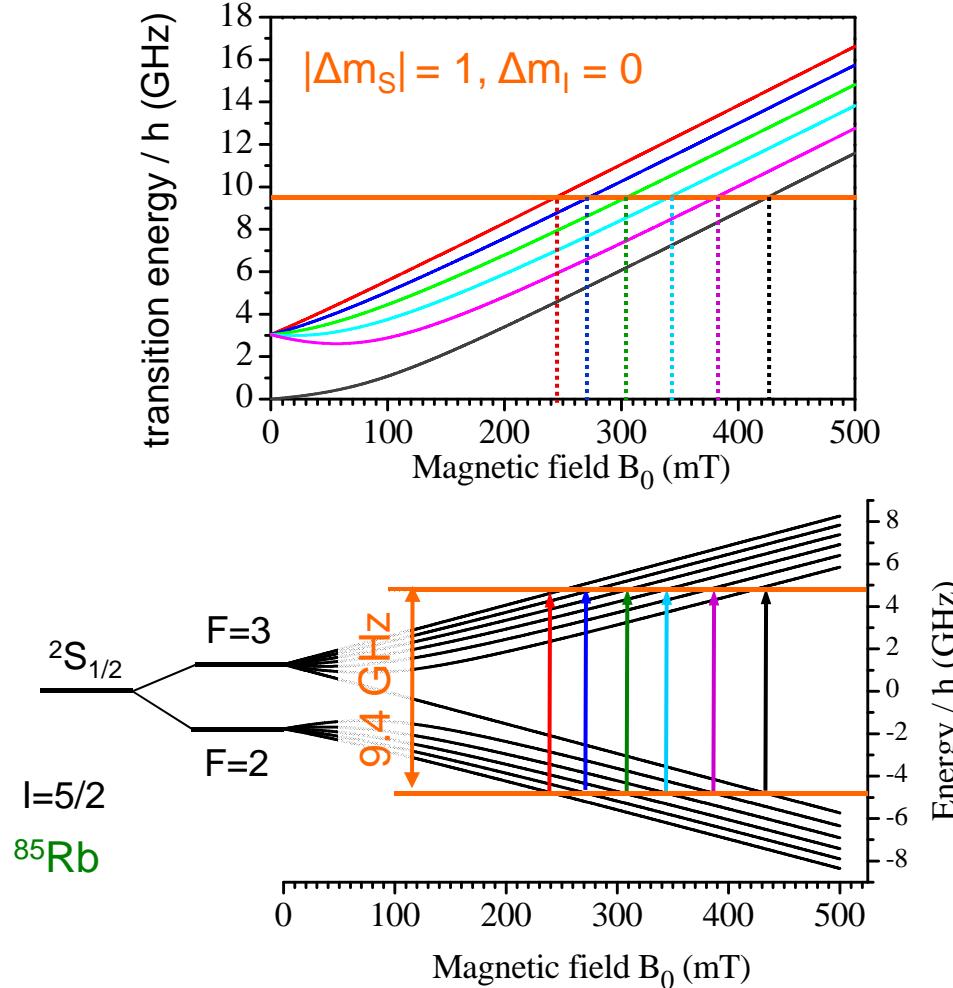
Markus Koch

# ESR on helium droplets

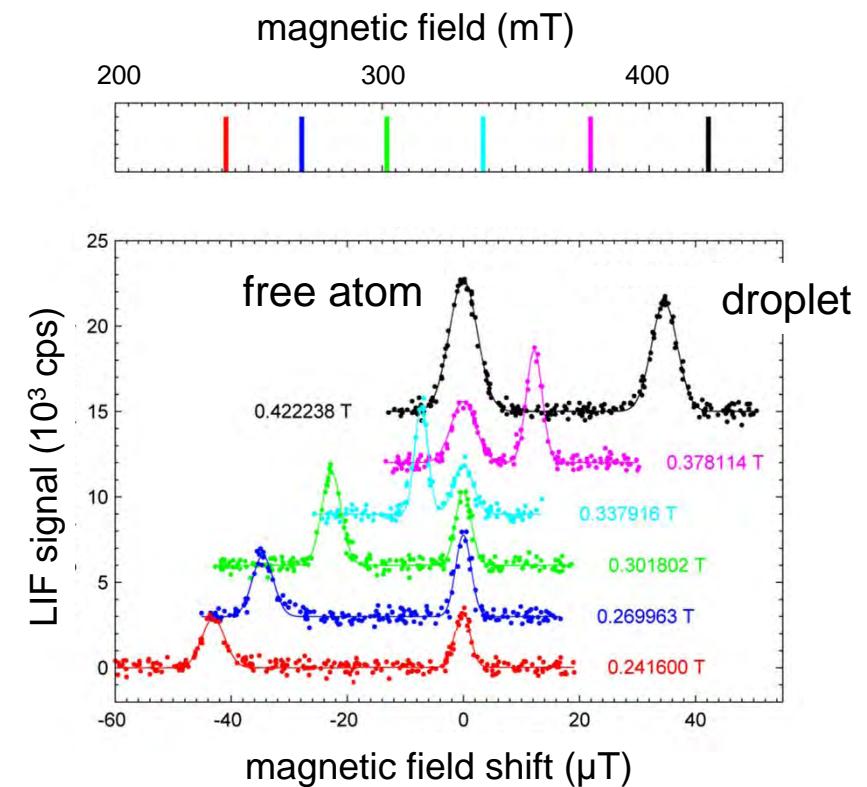
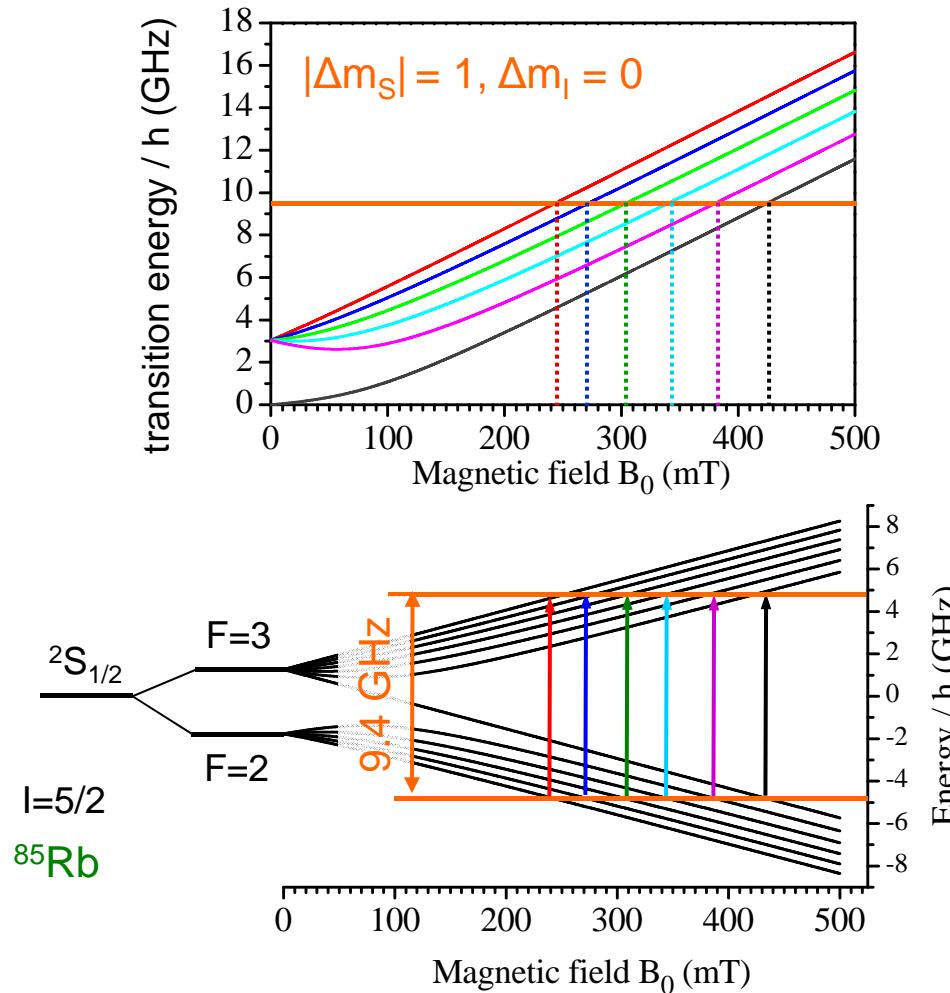
Breit-Rabi formula



# ESR on helium droplets



# ESR on helium droplets

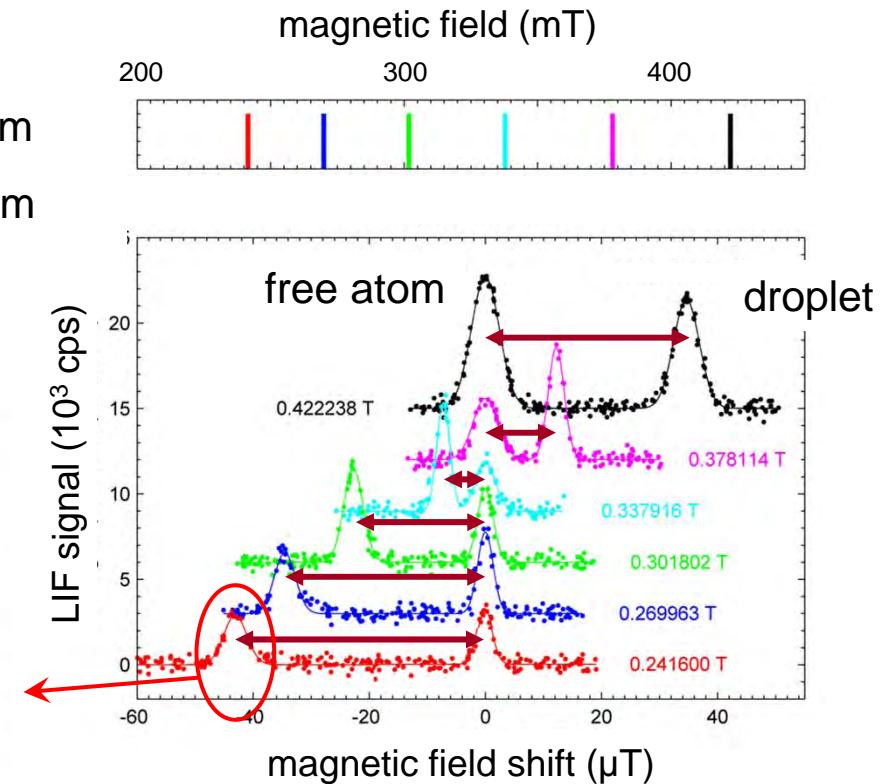
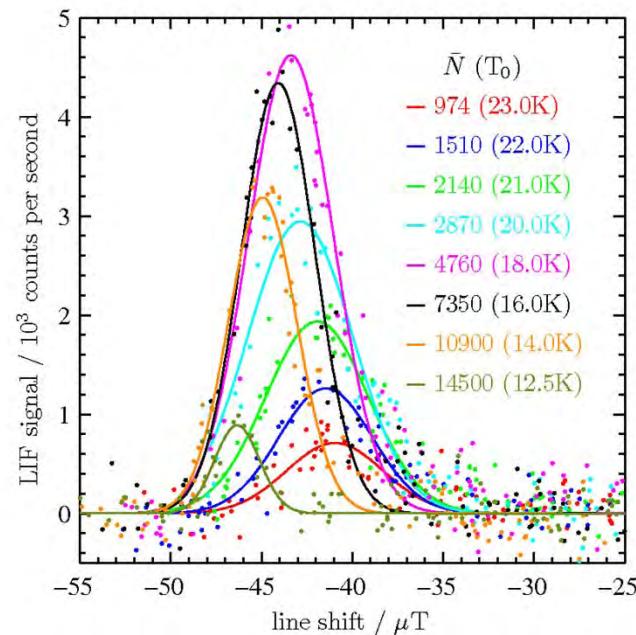


# ESR on helium droplets

Modeling: Breit-Rabi formula

- droplet: variations  $\delta a_{\text{HFS}}$ ,  $\delta g_s$  free parameters
- $^{39}\text{K}$ :  $\delta a_{\text{HFS}}/a_{\text{HFS}} = 325 \pm 40 \text{ ppm}$ ,  $\delta g_s/g_s = 0 \pm 3 \text{ ppm}$
- $^{85}\text{Rb}$ :  $\delta a_{\text{HFS}}/a_{\text{HFS}} = 412 \pm 8 \text{ ppm}$ ,  $\delta g_s/g_s = 0 \pm 3 \text{ ppm}$
- $\delta a_{\text{HFS}}/a_{\text{HFS}} = \delta|\psi_0|^2/|\psi_0|^2$

varies with droplet size!



M. Koch, J. Lanzersdorfer, C. Callegari,  
J.S. Muentner, and W. E. Ernst  
J. Phys. Chem. A 113, 13347-13356(2009)  
M. Koch, G. Auböck, C. Callegari and W. E. Ernst  
Phys. Rev. Lett. 103, 035302-1-4(2009)

# Electron spin density at alkali nucleus

Following Adrian [J. Chem. Phys. 32 (4), 972–981 (1960)], the relative change of hfs consists of two parts:

$$\left. \frac{\delta a_{\text{HFS}}}{a_{\text{HFS}}} \right|_{\text{Pauli}} = \frac{|\Psi'_{n'00}(R_A, 0, \theta)|^2}{|\Psi_{n00}(0, \theta)|^2} - 1 \quad (1)$$

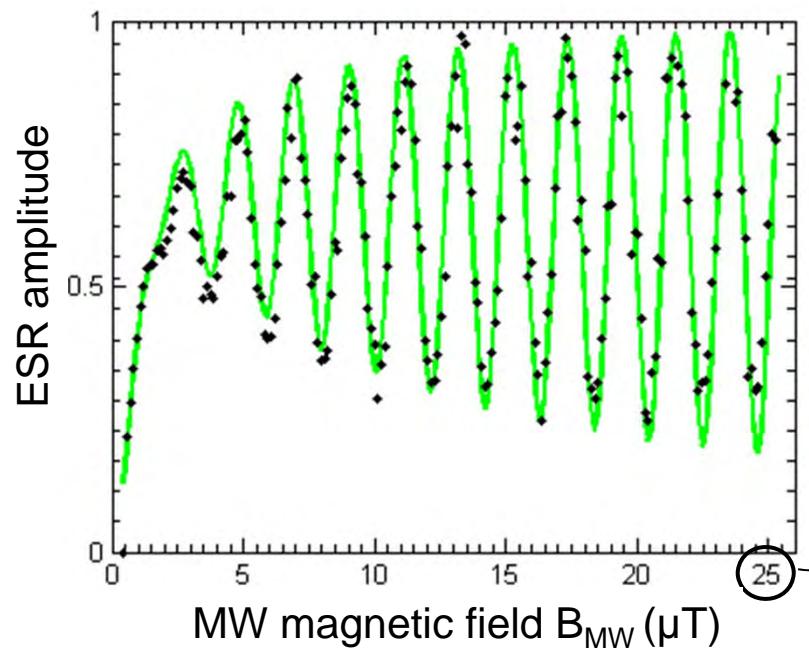
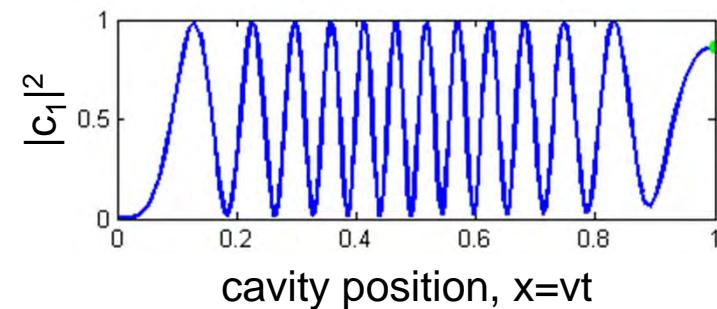
$$\left. \frac{\delta a_{\text{HFS}}}{a_{\text{HFS}}} \right|_{\text{vdW}} = - \left( \frac{2}{E_A} + \frac{1}{E_A + E_{\text{He}}} \right) \int_V \frac{f_6(|\vec{R}_A - \vec{R}|) C_6 \rho_0(\vec{R})}{|\vec{R}_A - \vec{R}|^6} d\vec{R} \quad (2)$$

Relative change of electron spin density at alkali nucleus in ppm for  $\text{He}_N$  droplet

$N$	Pauli	van der Waals	Pauli + van der Waals
K			
500	+1630	-1294	+336
1000	+1831	-1464	+367
2000	+1928	-1558	+370
Rb			
500	+1838	-1446	+392
1000	+2151	-1698	+453
2000	+2270	-1812	+458

see M. Koch, C. Callegari,  
and W. E. Ernst, Mol. Phys.  
**108** (7), 1005 (2010),  
issue in honor of R. N. Zare

# Rabi oscillations



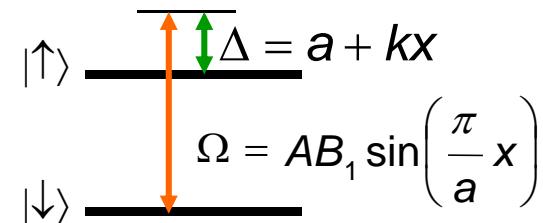
$$|\Psi(t)\rangle = C_1(t)|\psi_1\rangle + C_2(t)|\psi_2\rangle$$

$$P_n(t) = |C_n(t)|^2, \quad \text{with } |C_1(t)|^2 + |C_2(t)|^2 = 1$$

$$\frac{\partial}{\partial t} \begin{bmatrix} C_1(t) \\ C_2(t) \end{bmatrix} = -\frac{i}{2} \begin{bmatrix} -\Delta & \Omega \\ \Omega & \Delta \end{bmatrix} \begin{bmatrix} C_1(t) \\ C_2(t) \end{bmatrix}$$

$\Delta = \omega_0 - \omega$  ... detuning

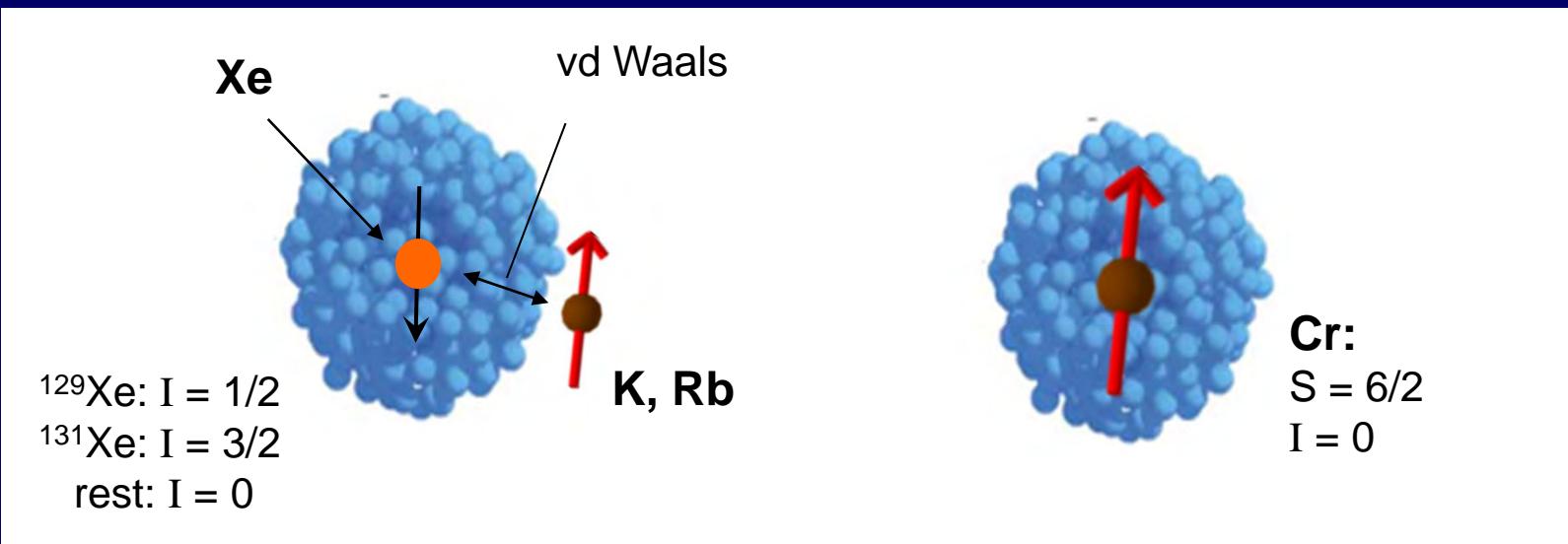
$\Omega$  ... Rabi frequency



# ESR on droplets: conclusions & future

- first demonstration of MR (ESR) on doped He<sub>N</sub>
- hyperfine resolved ESR spectrum of <sup>39</sup>K, <sup>85</sup>Rb
- shifts (~400 ppm), droplet-size dependent: Fermi contact term
- coherent population transfer: Rabi oscillations

Currently in progress:



Poster by Martin Ratschek and Markus Koch (yesterday)

# The HeDrop Team



Gerald Auböck  
now EPFL

**FWF**

Fonds zur Förderung  
der wissenschaftlichen  
Forschung

## WE-Heraeus-Seminar No. 482

# Helium Nanodroplets – Confinement for Cold Molecules and Cold Chemistry

Physikzentrum Bad Honnef, Germany  
May 29 to June 1, 2011

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# THE END